House of Representatives



General Assembly

File No. 224

February Session, 2010

Substitute House Bill No. 5021

House of Representatives, March 31, 2010

The Committee on Government Administration and Elections reported through REP. SPALLONE of the 36th Dist., Chairperson of the Committee on the part of the House, that the substitute bill ought to pass.

AN ACT CONCERNING THE CITIZENS' ELECTION PROGRAM.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. Section 9-700 of the general statutes is repealed and the
- 2 following is substituted in lieu thereof (*Effective from passage*):
- As used in [sections 9-700 to 9-716, inclusive] this chapter and
- 4 <u>section 5 of this act</u>:
- 5 (1) "Commission" means the State Elections Enforcement
- 6 Commission.
- 7 (2) "Depository account" means the single checking account at the
- 8 depository institution designated as the depository for the candidate
- 9 committee's moneys in accordance with the provisions of subsection
- 10 (a) of section 9-604.
- 11 (3) "District office" has the same meaning as provided in section 9-
- 12 372.

(4) "Eligible minor party candidate" means a candidate for election
 to an office who is nominated by a minor party pursuant to subpart B
 of part III of chapter 153.

- 16 (5) "Eligible petitioning party candidate" means a candidate for 17 election to an office pursuant to subpart C of part III of chapter 153 18 whose nominating petition has been approved by the Secretary of the 19 State pursuant to section 9-4530.
- 20 (6) "Fund" means the Citizens' Election Fund established in section 9-701, as amended by this act.
- 22 (7) "General election campaign" means (A) in the case of a candidate 23 nominated at a primary, the period beginning on the day following the 24 primary and ending on the date the campaign treasurer files the final 25 statement for such campaign pursuant to section 9-608, or (B) in the 26 case of a candidate nominated without a primary, the period 27 beginning on the day following the day on which the candidate is 28 nominated and ending on the date the campaign treasurer files the 29 final statement for such campaign pursuant to section 9-608.
- 30 (8) "Major party" has the same meaning as provided in section 9-372.
- 31 (9) "Minor party" has the same meaning as provided in section 9-32 372.
- 33 (10) "Municipal office" has the same meaning as provided in section 34 9-372.
- (11) "Primary campaign" means the period beginning on the day 35 36 following the close of (A) a convention held pursuant to section 9-382 37 for the purpose of endorsing a candidate for nomination to the office of 38 Governor, Lieutenant Governor, Attorney General, State Comptroller, 39 State Treasurer or Secretary of the State or the district office of state 40 senator or state representative, or (B) a caucus, convention or town 41 committee meeting held pursuant to section 9-390 for the purpose of 42 endorsing a candidate for the municipal office of state senator or state 43 representative, whichever is applicable, and ending on the day of a

44 primary held for the purpose of nominating a candidate for such 45 office.

- 46 (12) "Qualified candidate committee" means a candidate committee 47 (A) established to aid or promote the success of any candidate for
- 48 nomination or election to the office of Governor, Lieutenant Governor,
- 49 Attorney General, State Comptroller, State Treasurer, Secretary of the
- 50 State, state senator or state representative, and (B) approved by the
- 51 commission to receive a grant from the Citizens' Election Fund under
- section 9-706, as amended by this act.
- 53 (13) "Qualifying contribution" means a contribution which is
- 54 applied toward the amount required to receive a grant under section 9-
- 55 705, as amended by this act.
- 56 (14) "Supplemental qualifying contribution" means a contribution
- 57 received in order to qualify for a supplemental grant under section 5 of
- 58 this act or received in accordance with subdivision (3) of subsection (c)
- of section 9-702, as amended by this act.
- Sec. 2. Section 9-702 of the general statutes is repealed and the
- 61 following is substituted in lieu thereof (*Effective from passage*):
- (a) There is established a Citizens' Election Program under which (1)
- 63 the candidate committee of a major party candidate for nomination to
- 64 the office of state senator or state representative in 2008, or thereafter,
- or the office of Governor, Lieutenant Governor, Attorney General,
- 66 State Comptroller, Secretary of the State or State Treasurer in 2010, or
- 67 thereafter, may receive a grant from the Citizens' Election Fund for the
- 68 candidate's primary campaign for said nomination, and (2) the
- 69 candidate committee of a candidate nominated by a major party, or the
- 70 candidate committee of an eligible minor party candidate or an eligible
- 71 petitioning party candidate, (A) for election to the office of state
- senator or state representative at a special election held on or after
- 73 December 31, 2006, or at a regular election held in 2008, or thereafter,
- or (B) for election to the office of Governor, Attorney General, State
- 75 Comptroller, Secretary of the State or State Treasurer in 2010, or

thereafter, may receive a grant from the fund for the candidate's general election campaign for said office.

- (b) (1) Any such candidate committee is eligible to receive such grants under section 9-705, as amended by this act, for a primary campaign, if applicable, and a general election campaign if [(1)] (A) the candidate certifies as a participating candidate under section 9-703, as amended by this act, [(2)] (B) the candidate's candidate committee receives the required amount of qualifying contributions under section 9-704, as amended by this act, [(3)] (C) the candidate's candidate committee returns or transmits to the commission for deposit in the Citizens' Election Fund all contributions that do not meet the criteria for qualifying contributions under said section 9-704, [(4)] (D) the candidate agrees to limit the campaign expenditures of the candidate's candidate committee in accordance with the provisions of subsection (c) of this section, and [(5)] (E) the candidate submits an application and the commission approves the application in accordance with the provisions of section 9-706, as amended by this act.
- 93 (2) After receiving a grant under section 9-705, as amended by this 94 act, a qualified candidate committee may then qualify for a 95 supplemental grant under section 5 of this act.
 - (c) (1) A candidate participating in the Citizens' Election Program shall limit the expenditures of the candidate's candidate committee (A) before a primary campaign and a general election campaign, to the amount of qualifying contributions permitted in section [9-705] 9-704, as amended by this act, and any personal funds provided by the candidate under subsection (c) of section 9-710, (B) for a primary campaign, to the sum of (i) the amount of such qualifying contributions and personal funds that have not been spent before the primary campaign, (ii) the amount of the grant for the primary campaign authorized under section 9-705, as amended by this act, and (iii) the amount of any additional moneys for the primary campaign authorized under section [9-713 or 9-714] 5 of this act, if applicable, and the amount of any supplemental qualifying contributions under

109 subdivision (3) of this subsection, if applicable, and (C) for a general 110 election campaign, to the sum of (i) the amount of such qualifying 111 contributions, supplemental qualifying contributions and personal 112 funds that have not been spent before the general election campaign, 113 (ii) any unexpended funds from any grant for a primary campaign 114 authorized under section 9-705, as amended by this act, or from any 115 additional moneys for a primary campaign authorized under section 116 [9-713 or 9-714] 5 of this act, if applicable, (iii) the amount of the grant 117 for the general election campaign authorized under section 9-705, as 118 amended by this act, [and] (iv) the amount of any additional moneys 119 for the general election campaign authorized under section [9-713 or 9-120 714] 5 of this act, if applicable, and (v) the amount of any supplemental 121 qualifying contributions under subdivision (3) of this subsection, if 122 applicable.

(2) The candidate committee of [a minor or petitioning party candidate who has received a general election campaign grant from the fund pursuant to section 9-705] a candidate participating in the Citizens' Election Program, who is unopposed in the general election campaign and therefore deemed ineligible to receive a general election campaign grant, pursuant to subdivision (3) of subsection (h) of section 9-705, as amended by this act, shall be permitted to receive contributions in addition to the qualifying contributions permitted in section 9-704, as amended by this act, subject to the limitations and restrictions applicable to participating candidates for the same office, provided (A) such [minor or petitioning party candidate shall limit the expenditures of the candidate committee for a general election campaign to the sum of the qualifying contributions and personal funds, the amount of the general election campaign grant received and the amount raised in additional contributions that is equivalent to the difference between the amount of the applicable general election campaign grant for a major party candidate for such office and the amount of the general election campaign grant received by such minor or petitioning party candidate unopposed candidate shall limit the expenditures of the candidate committee for a general election campaign to the sum of (i) the qualifying contributions and personal

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funds, and (ii) additional contributions not to exceed thirty per cent of the applicable general election campaign grant, as set forth in subsections (a) to (g), inclusive, of section 9-705, as amended by this act, and (B) if, subsequent to being deemed an unopposed candidate pursuant to subdivision (3) of subsection (h) of section 9-705, as amended by this act, such participating candidate is deemed opposed and such candidate's qualified candidate committee receives a general election grant, such candidate shall limit the expenditures of the candidate committee for a general election campaign to the sum of (i) the qualifying contributions and personal funds, (ii) additional contributions not to exceed thirty per cent of the applicable general election campaign grant, as set forth in subsections (a) to (g), inclusive, of said section 9-705, and (iii) such applicable general election campaign grant, subject to the provisions of subdivision (4) of subsection (h) of said section 9-705.

(3) After qualifying for a grant under section 9-705, as amended by this act, a qualified candidate committee that is eligible to receive a grant under section 5 of this act, regardless of whether such candidate committee satisfies application deadlines under section 9-706, as amended by this act, may receive supplemental qualifying contributions subject to the limitations and restrictions under section 9-704, as amended by this act. The amount raised in supplemental qualifying contributions shall not exceed an amount that is the equivalent to one-third of the maximum amount of the applicable grant that such qualified candidate committee would be eligible for if such qualified candidate committee received the maximum grant amount under said section 5.

(d) For the purposes of [sections 9-700 to 9-716, inclusive] this chapter and section 5 of this act, if a qualified candidate committee receives a grant for a primary campaign and has qualifying contributions that have not been spent before the primary campaign, no expenditures by such committee during the primary campaign shall be deemed to have been made from such qualifying contributions until the primary campaign grant funds have been fully spent.

(e) No grants or moneys paid to a qualified candidate committee from the Citizens' Election Fund under [sections 9-700 to 9-716, inclusive] this chapter and section 5 of this act, shall be deemed to be public funds under any other provision of the general statutes or any public or special act unless specifically stated by such provision.

- Sec. 3. Section 9-704 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - (a) The amount of qualifying contributions that the candidate committee of a candidate shall be required to receive in order to be eligible for grants from the Citizens' Election Fund <u>under section 9-705</u>, <u>as amended by this act</u>, shall be:
 - (1) In the case of a candidate for nomination or election to the office of Governor, contributions from individuals in the aggregate amount of two hundred fifty thousand dollars, of which two hundred twentyfive thousand dollars or more is contributed by individuals residing in the state. The provisions of this subdivision shall be subject to the following: (A) The candidate committee shall return the portion of any contribution or contributions from any individual, including said candidate, that exceeds [one] five hundred dollars, and such excess portion shall not be considered in calculating such amounts, and (B) all contributions received, including the full amount of any contribution received for the 2010 campaign and for any such campaign thereafter, by (i) an exploratory committee established by said candidate, or (ii) an exploratory committee or candidate committee of a candidate for the office of Lieutenant Governor who is deemed to be jointly campaigning with a candidate for nomination or election to the office of Governor under subsection (a) of section 9-709, which meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating such amounts. [; and]
 - (2) In the case of a candidate for nomination or election to the office of Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State, contributions from individuals in the aggregate amount of seventy-five thousand dollars, of which sixty-

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seven thousand five hundred dollars or more is contributed by individuals residing in the state. The provisions of this subdivision shall be subject to the following: (A) The candidate committee shall return the portion of any contribution or contributions from any individual, including said candidate, that exceeds [one hundred] two hundred fifty dollars, and such excess portion shall not be considered in calculating such amounts, and (B) all contributions received, including up to two hundred fifty dollars of the amount of any contribution received for the 2010 campaign and for any such campaign thereafter, by an exploratory committee established by said candidate that meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating such amounts.

(3) In the case of a candidate for nomination or election to the office of state senator for a district, contributions from individuals in the aggregate amount of fifteen thousand dollars, including contributions from at least three hundred individuals residing in municipalities included, in whole or in part, in said district. The provisions of this subdivision shall be subject to the following: (A) The candidate committee shall return the portion of any contribution or contributions from any individual, including said candidate, that exceeds one hundred dollars, and such excess portion shall not be considered in calculating the aggregate contribution amount under this subdivision, (B) no contribution shall be counted for the purposes of the requirement under this subdivision for contributions from at least three hundred individuals residing in municipalities included, in whole or in part, in the district unless the contribution is five dollars or more, and (C) all contributions received by an exploratory committee established by said candidate that meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating the aggregate contribution amount under this subdivision and all such exploratory committee contributions that also meet the requirement under this subdivision for contributions from at least three hundred individuals residing in municipalities included, in whole or in part, in the district shall be counted for the

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(4) In the case of a candidate for nomination or election to the office of state representative for a district, contributions from individuals in the aggregate amount of five thousand dollars, including contributions from at least one hundred fifty individuals residing in municipalities included, in whole or in part, in said district. The provisions of this subdivision shall be subject to the following: (A) The candidate committee shall return the portion of any contribution or contributions from any individual, including said candidate, that exceeds one hundred dollars, and such excess portion shall not be considered in calculating the aggregate contribution amount under this subdivision, (B) no contribution shall be counted for the purposes of the requirement under this subdivision for contributions from at least one hundred fifty individuals residing in municipalities included, in whole or in part, in the district unless the contribution is five dollars or more, and (C) all contributions received by an exploratory committee established by said candidate that meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating the aggregate contribution amount under this subdivision and all such exploratory committee contributions that also meet the requirement under this subdivision for contributions from at least one hundred fifty individuals residing in municipalities included, in whole or in part, in the district shall be counted for the purposes of said requirement.

(5) Notwithstanding the provisions of subdivisions (3) and (4) of this subsection, in the case of a special election for the office of state senator or state representative for a district, (A) the aggregate amount of qualifying contributions that the candidate committee of a candidate for such office shall be required to receive in order to be eligible for a grant from the Citizens' Election Fund shall be seventy-five per cent or more of the corresponding amount required under the applicable said subdivision (3) or (4), and (B) the number of contributions required from individuals residing in municipalities included, in whole or in part, in said district shall be seventy-five per cent or more of the

corresponding number required under the applicable said subdivision (3) or (4).

- 282 (b) The maximum amount of contributions that a qualified 283 candidate committee described in subsection (a), (b), (d) or (e) of 284 section 5 of this act may receive as supplemental qualifying 285 contributions in order to be eligible for a supplemental grant from the 286 Citizens' Election Fund under said section 5 shall be:
- 287 (1) (A) In the case of a qualified candidate committee of a major party candidate for the office of Governor who has a primary for 288 nomination to said office, contributions from individuals in an 289 290 aggregate amount not to exceed one-third of the maximum amount of the supplemental grant for a primary under subsection (a) of section 5 291 292 of this act, of which seventy-five per cent of the aggregate amount or 293 more is contributed by individuals residing in the state. The qualified 294 candidate committee shall return the portion of any contribution or 295 contributions from any individual, including said candidate, that 296 exceeds five hundred dollars, and such excess portion shall not be 297 considered in calculating such amounts.
 - (B) In the case of a qualified candidate committee of a candidate for the office of Governor, contributions from individuals in an aggregate amount not to exceed one-third of the maximum amount of the supplemental grant for a general election under subsection (a) under section 5 of this act, of which seventy-five per cent of the aggregate amount or more is contributed by individuals residing in the state. The qualified candidate committee shall return the portion of any contribution or contributions from any individual, including said candidate, that exceeds five hundred dollars, and such excess portion shall not be considered in calculating such amounts.
 - (2) (A) In the case of a qualified candidate committee of a major party candidate for the office of Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer who has a primary for nomination to said office, contributions from individuals in an aggregate amount not to exceed one-third of the

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maximum amount of the supplemental grant for a primary election under subsection (b) of section 5 of this act, of which seventy-five per cent of the aggregate amount or more is contributed by individuals residing in the state. The qualified candidate committee shall return the portion of any contribution or contributions from any individual, including said candidate, that exceeds two hundred fifty dollars, and such excess portion shall not be considered in calculating such amounts.

(B) In the case of a qualified candidate committee of a candidate for the office of Attorney General, State Comptroller, Secretary of the State or State Treasurer, contributions from individuals in an aggregate amount not to exceed one-third of the maximum amount of the supplemental grant for a general election under subsection (b) of section 5 of this act, of which seventy-five per cent of the aggregate amount or more is contributed by individuals residing in the state. The qualified candidate committee shall return the portion of any contribution or contributions from any individual, including said candidate, that exceeds two hundred fifty dollars, and such excess portion shall not be considered in calculating such amounts.

(3) (A) In the case of a qualified candidate committee of a major party candidate for the office of state senator for a district who has a primary for nomination to said office, contributions from individuals in an aggregate amount not to exceed one-third of the maximum amount of the applicable supplemental grant for a primary election under subsection (d) of section 5 of this act, of which seventy-five per cent of the aggregate amount or more is contributed by individuals residing in the municipalities included, in whole or in part, in said district. The qualified candidate committee shall return the portion of any contribution or contributions from any individual, including said candidate, that exceeds one hundred dollars, and such excess portion shall not be considered in calculating the aggregate contribution amount under this subdivision.

(B) In the case of a qualified candidate committee of a candidate for

the office of state senator for a district, contributions from individuals in an aggregate amount not to exceed one-third of the maximum amount of the applicable supplemental grant for a general election under subsection (d) of section 5 of this act, of which seventy-five per cent of the aggregate amount or more is contributed by individuals residing in the municipalities included, in whole or in part, in said district. The qualified candidate committee shall return the portion of any contribution or contributions from any individual, including said candidate, that exceeds one hundred dollars, and such excess portion shall not be considered in calculating the aggregate contribution amount under this subdivision.

(4) (A) In the case of a qualified candidate committee of a major party candidate for the office of state representative for a district who has a primary for nomination to said office, contributions from individuals in an aggregate amount not to exceed one-third of the maximum amount of the applicable supplemental grant for a primary election under subsection (e) of section 5 of this act, of which seventy-five per cent of the aggregate amount or more is contributed by individuals residing in the municipalities included, in whole or in part, in said district. The qualified candidate committee shall return the portion of any contribution or contributions from any individual, including said candidate, that exceeds one hundred dollars, and such excess portion shall not be considered in calculating the aggregate contribution amount under this subdivision.

(B) In the case of a qualified candidate committee of a candidate for the office of state representative for a district, contributions from individuals in an aggregate amount not to exceed one-third of the maximum amount of the applicable supplemental grant for a general election under subsection (e) of section 5 of this act, of which seventy-five per cent of the aggregate amount or more is contributed by individuals residing in the municipalities included, in whole or in part, in said district. The qualified candidate committee shall return the portion of any contribution or contributions from any individual, including said candidate, that exceeds one hundred dollars, and such

excess portion shall not be considered in calculating the aggregate contribution amount under this subdivision.

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- (5) Notwithstanding the provisions of subdivisions (3) and (4) of this subsection, in the case of a special election for the office of state senator or state representative for a district, the aggregate amount of supplemental qualifying contributions that the candidate committee of a candidate for such office may receive in order to be eligible for a grant from the Citizens' Election Fund under section 5 of this act shall not exceed seventy-five per cent of the corresponding amount under the applicable said subdivision (3) or (4).
- [(b)] (c) Each individual who makes a contribution of more than fifty dollars to a candidate committee established to aid or promote the success of a participating candidate for nomination or election shall include with the contribution a certification that contains the same information described in subdivision (3) of subsection (c) of section 9-608 and shall follow the same procedure prescribed in said subsection.
 - [(c)] (d) The following shall not be deemed to be qualifying contributions under subsection (a) of this section or a supplemental qualifying contribution under subsection (b) of this section and shall be returned by the campaign treasurer of the candidate committee to the contributor or transmitted to the State Elections Enforcement Commission for deposit in the Citizens' Election Fund:
- 402 (1) A contribution from a communicator lobbyist or a member of the 403 immediate family of a communicator lobbyist;
- 404 (2) A contribution from a principal of a state contractor or 405 prospective state contractor;
- 406 (3) A contribution of less than five dollars, and a contribution of five 407 dollars or more from an individual who does not provide the full name 408 and complete address of the individual; and
- 409 (4) A contribution under subdivision (1) or (2) of subsection (a) <u>or</u> 410 <u>subdivision (1) or (2) of subsection (b)</u> of this section from an

411 individual who does not reside in the state, in excess of the applicable

- limit on contributions from out-of-state individuals in subsection (a) or
- 413 (b) of this section.
- 414 [(d)] (e) (1) After a candidate committee receives the applicable
- aggregate amount of qualifying contributions under subsection (a) or
- 416 supplemental qualifying contributions under subsection (b) of this
- 417 section, the candidate committee shall transmit any additional
- 418 contributions that it receives to the State Treasurer for deposit in the
- 419 Citizens' Election Fund, except as provided for in subdivision (2) of
- 420 this subsection.
- 421 (2) If a qualified candidate committee is eligible for a supplemental
- grant under section 5 of this act, the qualified candidate committee
- 423 may use excess qualifying contributions up to twenty per cent more
- 424 than the applicable aggregate amount of qualifying contributions
- 425 <u>under subsection (a) of this section, as supplemental qualifying</u>
- 426 <u>contributions under subsection (b) of this section. If a qualified</u>
- 427 <u>candidate committee is eligible for a supplemental grant under said</u>
- 428 section 5 for a primary campaign, the qualified candidate committee
- 429 may use excess supplemental qualifying contributions up to twenty
- 430 per cent more than the applicable aggregate amount of supplemental
- 431 qualifying contributions required to receive the grant that the
- 432 committee received for the primary, as supplemental qualifying
- contributions for a supplemental grant under said section 5 for the
- 434 qualified candidate committee for the general election.
- 435 (f) (1) Any individual making a qualifying contribution to a
- 436 candidate committee for a candidate for the office of Governor in an
- amount that does not exceed five hundred dollars under subsection (a)
- of this section may make an additional qualifying contribution in an
- amount not to exceed five hundred dollars to the qualified candidate
- committee for such candidate under subsection (b) of this section.
- 441 (2) Any individual making a qualifying contribution to a candidate
- 442 committee for a candidate for the office of Lieutenant Governor,
- 443 Attorney General, State Comptroller, Secretary of the State, State

Treasurer in an amount that does not exceed two hundred fifty dollars

- 445 <u>under subsection (a) of this section may make an additional qualifying</u>
- 446 <u>contribution in an amount not to exceed two hundred fifty dollars to</u>
- 447 <u>the qualified candidate committee for such candidate under subsection</u>
- 448 (b) of this section.
- 449 (3) Any individual making a qualifying contribution to a candidate
- 450 <u>committee for a candidate for the office of state senator or state</u>
- 451 representative in an amount that does not exceed one hundred dollars
- under subsection (a) of this section may make an additional qualifying
- contribution in an amount not to exceed one hundred dollars to the
- 454 qualified candidate committee for such candidate under subsection (b)
- 455 of this section.
- 456 [(e)] (g) As used in this section, (1) "communicator lobbyist" has the
- 457 same meaning as provided in section 1-91, (2) "immediate family"
- 458 means the spouse or a dependent child of an individual, and (3)
- 459 "principal of a state contractor or prospective state contractor" has the
- same meaning as provided in subsection (g) of section 9-612.
- Sec. 4. Section 9-705 of the general statutes is repealed and the
- 462 following is substituted in lieu thereof (*Effective from passage*):
- (a) (1) [The] In the case of a primary held in 2010, the qualified
- 464 candidate committee of a major party candidate for the office of
- Governor who has a primary for nomination to said office shall be
- 466 eligible to receive a grant from the Citizens' Election Fund for the
- 467 primary campaign in the amount of one million two hundred fifty
- thousand dollars. [, provided, in] In the case of a primary held in 2014,
- or thereafter, said amount shall be adjusted under subsection [(d)] (c)
- 470 of this section.
- 471 (2) [The] In the case of an election held in 2010, the qualified
- candidate committee of a candidate for the office of Governor who (A)
- has been nominated [, or who has qualified to appear on the election
- 474 ballot in accordance with the provisions of subpart C of part III of
- chapter 153] by a major party, (B) is an eligible minor party candidate,

or (C) is an eligible petitioning party candidate, shall be eligible to receive a grant from the fund for the general election campaign in the amount of three million dollars. [, provided in] In the case of an election held in 2014, or thereafter, said amount shall be adjusted under subsection [(d)] (c) of this section.

- (b) (1) [The] In the case of a primary held in 2010, the qualified candidate committee of a major party candidate for the office of Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer who has a primary for nomination to said office shall be eligible to receive a grant from the fund for the primary campaign in the amount of [three hundred seventy-five] two hundred thousand dollars. [, provided, in] In the case of a primary held in 2014, or thereafter, said amount shall be adjusted under subsection [(d)] (c) of this section.
- (2) [The] In the case of an election held in 2010, the qualified candidate committee of a candidate for the office of Attorney General, State Comptroller, Secretary of the State or State Treasurer who (A) has been nominated [, or who has qualified to appear on the election ballot in accordance with the provisions of subpart C of part III of chapter 153] by a major party, (B) is an eligible minor party candidate, or (C) is and eligible petitioning party candidate, shall be eligible to receive a grant from the fund for the general election campaign in the amount of [seven hundred fifty] five hundred thousand dollars. [, provided in] In the case of an election held in 2014, or thereafter, said amount shall be adjusted under subsection [(d)] (c) of this section.
- [(c) (1) Notwithstanding the provisions of subsections (a) and (b) of this section, the qualified candidate committee of an eligible minor party candidate for the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer shall be eligible to receive a grant from the fund for the general election campaign if the candidate of the same minor party for the same office at the last preceding regular election received at least ten per cent of the whole number of votes cast for all candidates for

said office at said election. The amount of the grant shall be one-third of the amount of the general election campaign grant under subsection (a) or (b) of this section for a candidate for the same office, provided (A) if the candidate of the same minor party for the same office at the last preceding regular election received at least fifteen per cent of the whole number of votes cast for all candidates for said office at said election, the amount of the grant shall be two-thirds of the amount of the general election campaign grant under subsection (a) or (b) of this section for a candidate for the same office, (B) if the candidate of the same minor party for the same office at the last preceding regular election received at least twenty per cent of the whole number of votes cast for all candidates for said office at said election, the amount of the grant shall be the same as the amount of the general election campaign grant under subsection (a) or (b) of this section for a candidate for the same office, and (C) in the case of an election held in 2014, or thereafter, said amounts shall be adjusted under subsection (d) of this section.

(2) Notwithstanding the provisions of subsections (a) and (b) of this section, the qualified candidate committee of an eligible petitioning party candidate for the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer shall be eligible to receive a grant from the fund for the general election campaign if said candidate's nominating petition has been signed by a number of qualified electors equal to at least ten per cent of the whole number of votes cast for the same office at the last preceding regular election. The amount of the grant shall be one-third of the amount of the general election campaign grant under subsection (a) or (b) of this section for a candidate for the same office, provided (A) if said candidate's nominating petition has been signed by a number of qualified electors equal to at least fifteen per cent of the whole number of votes cast for the same office at the last preceding regular election, the amount of the grant shall be two-thirds of the amount of the general election campaign grant under subsection (a) or (b) of this section for a candidate for the same office, (B) if said candidate's nominating petition has been signed by a number of

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qualified electors equal to at least twenty per cent of the whole number of votes cast for the same office at the last preceding regular election, the amount of the grant shall be the same as the amount of the general election campaign grant under subsection (a) or (b) of this section for a candidate for the same office, and (C) in the case of an election held in 2014, or thereafter, said amounts shall be adjusted under subsection (d) of this section.

- (3) In addition to the provisions of subdivisions (1) and (2) of this subsection, the qualified candidate committee of an eligible petitioning party candidate and the qualified candidate committee of an eligible minor party candidate for the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer shall be eligible to receive a supplemental grant from the fund after the general election if the treasurer of such candidate committee reports a deficit in the first statement filed after the general election, pursuant to section 9-608, and such candidate received a greater per cent of the whole number of votes cast for all candidates for said office at said election than the per cent of votes utilized by such candidate to obtain a general election campaign grant described in subdivision (1) or (2) of this subsection. The amount of such supplemental grant shall be calculated as follows:
- (A) In the case of any such candidate who receives more than ten per cent, but not more than fifteen per cent, of the whole number of votes cast for all candidates for said office at said election, the grant shall be the product of (i) a fraction in which the numerator is the difference between the percentage of such whole number of votes received by such candidate and ten per cent and the denominator is ten, and (ii) two-thirds of the amount of the general election campaign grant under subsection (a) or (b) of this section for a major party candidate for the same office.
- (B) In the case of any such candidate who receives more than fifteen per cent, but less than twenty per cent, of the whole number of votes cast for all candidates for said office at said election, the grant shall be

the product of (i) a fraction in which the numerator is the difference between the percentage of such whole number of votes received by such candidate and fifteen per cent and the denominator is five, and (ii) one-third of the amount of the general election campaign grant under subsection (a) or (b) of this section for a major party candidate for the same office.

(C) The sum of the general election campaign grant received by any such candidate and a supplemental grant under this subdivision shall not exceed one hundred per cent of the amount of the general election campaign grant under subsection (a) or (b) of this section for a major party candidate for the same office.]

[(d)] (c) For elections held in 2014, and thereafter, the amount of the grants in subsections (a) [,] and (b) [and (c)] of this section shall be adjusted by the State Elections Enforcement Commission not later than January 15, 2014, and quadrennially thereafter, in accordance with any change in the consumer price index for all urban consumers as published by the United States Department of Labor, Bureau of Labor Statistics, during the period beginning on January 1, 2010, and ending on December thirty-first in the year preceding the year in which said adjustment is to be made.

[(e)] (d) (1) The qualified candidate committee of a major party candidate for the office of state senator who has a primary for nomination to said office shall be eligible to receive a grant from the fund for the primary campaign in the amount of [thirty-five] twenty-five thousand dollars, provided (A) if the percentage of the electors in the district served by said office who are enrolled in said major party exceeds the percentage of the electors in said district who are enrolled in another major party by at least twenty percentage points, the amount of said grant shall be [seventy-five] fifty-four thousand dollars, and (B) in the case of a primary held in [2010] 2012, or thereafter, said amounts shall be adjusted under subsection [(h)] (f) of this section. For the purposes of subparagraph (A) of this subdivision, the number of enrolled members of a major party and the number of electors in a

district shall be determined by the latest enrollment and voter registration records in the office of the Secretary of the State submitted in accordance with the provisions of section 9-65. The names of electors on the inactive registry list compiled under section 9-35 shall not be counted for such purposes.

- (2) The qualified candidate committee of a candidate for the office of state senator who (A) has been nominated [, or has qualified to appear on the election ballot in accordance with subpart C of part III of chapter 153] by a major party, (B) is an eligible minor party candidate, or (C) is an eligible petitioning party candidate, shall be eligible to receive a grant from the fund for the general election campaign in the amount of [eighty-five] sixty-one thousand dollars, provided in the case of an election held in [2010] 2012, or thereafter, said amount shall be adjusted under subsection [(h)] (f) of this section.
- [(f)] (e) (1) The qualified candidate committee of a major party candidate for the office of state representative who has a primary for nomination to said office shall be eligible to receive a grant from the fund for the primary campaign in the amount of [ten] seven thousand dollars, provided (A) if the percentage of the electors in the district served by said office who are enrolled in said major party exceeds the percentage of the electors in said district who are enrolled in another major party by at least twenty percentage points, the amount of said grant shall be [twenty-five] eighteen thousand dollars, and (B) in the case of a primary held in [2010] 2012, or thereafter, said amounts shall be adjusted under subsection [(h)] (f) of this section. For the purposes of subparagraph (A) of this subdivision, the number of enrolled members of a major party and the number of electors in a district shall be determined by the latest enrollment and voter registration records in the office of the Secretary of the State submitted in accordance with the provisions of section 9-65. The names of electors on the inactive registry list compiled under section 9-35 shall not be counted for such purposes.
 - (2) The qualified candidate committee of a candidate for the office of

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state representative who (A) has been nominated [, or has qualified to appear on the election ballot in accordance with subpart C of part III of chapter 153] by a major party, (B) is an eligible minor party candidate, or (C) is an eligible petitioning party candidate, shall be eligible to receive a grant from the fund for the general election campaign in the amount of [twenty-five] eighteen thousand dollars, provided in the case of an election held in [2010] 2012, or thereafter, said amount shall be adjusted under subsection [(h)] (f) of this section.

[(g) (1) Notwithstanding the provisions of subsections (e) and (f) of this section, the qualified candidate committee of an eligible minor party candidate for the office of state senator or state representative shall be eligible to receive a grant from the fund for the general election campaign if the candidate of the same minor party for the same office at the last preceding regular election received at least ten per cent of the whole number of votes cast for all candidates for said office at said election. The amount of the grant shall be one-third of the amount of the general election campaign grant under subsection (e) or (f) of this section for a candidate for the same office, provided (A) if the candidate of the same minor party for the same office at the last preceding regular election received at least fifteen per cent of the whole number of votes cast for all candidates for said office at said election, the amount of the grant shall be two-thirds of the amount of the general election campaign grant under subsection (e) or (f) of this section for a candidate for the same office, (B) if the candidate of the same minor party for the same office at the last preceding regular election received at least twenty per cent of the whole number of votes cast for all candidates for said office at said election, the amount of the grant shall be the same as the amount of the general election campaign grant under subsection (e) or (f) of this section for a candidate for the same office, and (C) in the case of an election held in 2010, or thereafter, said amounts shall be adjusted under subsection (h) of this section.

(2) Notwithstanding the provisions of subsections (e) and (f) of this section, the qualified candidate committee of an eligible petitioning

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party candidate for the office of state senator or state representative shall be eligible to receive a grant from the fund for the general election campaign if said candidate's nominating petition has been signed by a number of qualified electors equal to at least ten per cent of the whole number of votes cast for the same office at the last preceding regular election. The amount of the grant shall be one-third of the amount of the general election campaign grant under subsection (e) or (f) of this section for a candidate for the same office, provided (A) if said candidate's nominating petition has been signed by a number of qualified electors equal to at least fifteen per cent of the whole number of votes cast for the same office at the last preceding regular election, the amount of the grant shall be two-thirds of the amount of the general election campaign grant under subsection (e) or (f) of this section for a candidate for the same office, (B) if said candidate's nominating petition has been signed by a number of qualified electors equal to at least twenty per cent of the whole number of votes cast for the same office at the last preceding regular election, the amount of the grant shall be the same as the amount of the general election campaign grant under subsection (e) or (f) of this section for a candidate for the same office, and (C) in the case of an election held in 2010, or thereafter, said amounts shall be adjusted under subsection (h) of this section.

(3) In addition to the provisions of subdivisions (1) and (2) of this subsection, the qualified candidate committee of an eligible petitioning party candidate and the qualified candidate committee of an eligible minor party candidate for the office of state senator or state representative shall be eligible to receive a supplemental grant from the fund after the general election if the treasurer of such candidate committee reports a deficit in the first statement filed after the general election, pursuant to section 9-608, and such candidate received a greater per cent of the whole number of votes cast for all candidates for said office at said election than the per cent of votes utilized by such candidate to obtain a general election campaign grant described in subdivision (1) or (2) of this subsection. The amount of such supplemental grant shall be calculated as follows:

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(A) In the case of any such candidate who receives more than ten per cent, but less than fifteen per cent, of the whole number of votes cast for all candidates for said office at said election, the grant shall be the product of (i) a fraction in which the numerator is the difference between the percentage of such whole number of votes received by such candidate and ten per cent and the denominator is ten, and (ii) two-thirds of the amount of the general election campaign grant under subsection (a) or (b) of this section for a major party candidate for the same office.

- (B) In the case of any such candidate who receives more than fifteen per cent, but less than twenty per cent, of the whole number of votes cast for all candidates for said office at said election, the grant shall be the product of (i) a fraction in which the numerator is the difference between the percentage of such whole number of votes received by such candidate and fifteen per cent and the denominator is five, and (ii) one-third of the amount of the general election campaign grant under subsection (a) or (b) of this section for a major party candidate for the same office.
- (C) The sum of the general election campaign grant received by any such candidate and a supplemental grant under this subdivision shall not exceed one hundred per cent of the amount of the general election campaign grant under subsection (a) or (b) of this section for a major party candidate for the same office.]
- [(h)] (f) For elections held in 2010, and thereafter, the amount of the grants in subsections [(e), (f) and (g)] (d) and (e) of this section shall be adjusted by the State Elections Enforcement Commission not later than January 15, 2010, and biennially thereafter, in accordance with any change in the consumer price index for all urban consumers as published by the United States Department of Labor, Bureau of Labor Statistics, during the period beginning on January 1, 2008, and ending on December thirty-first in the year preceding the year in which said adjustment is to be made.
- 744 [(i)] (g) Notwithstanding the provisions of subsections [(e), (f) and

745 (g)] (d) and (e) of this section, in the case of a special election for the

- office of state senator or state representative, the amount of the grant
- for a general election campaign shall be seventy-five per cent of the
- amount authorized under the applicable said subsection [(e), (f) or (g)]
- 749 (d) or (e).

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- 750 [(j)] (h) Notwithstanding the provisions of subsections (a) to [(i)] (g), 751 inclusive, of this section:
- (1) The initial grant that a qualified candidate committee for a candidate is eligible to receive under subsections (a) to [(i)] (g), inclusive, of this section shall be reduced by the amount of any personal funds that the candidate provides for the candidate's campaign for nomination or election pursuant to subsection (c) of section 9-710;
- 758 (2) If a participating candidate is nominated at a primary and does 759 not expend the entire grant for the primary campaign authorized 760 under subsection (a), (b), [(e)] (d) or [(f)] (e) of this section or all 761 moneys that may be received for the primary campaign under section 762 [9-713 or 9-714] 5 of this act, the amount of the grant for the general 763 election campaign shall be reduced by the total amount of any such 764 unexpended primary campaign grant and moneys;
 - (3) If a participating candidate who is nominated for election [does not have any opponent] <u>is unopposed</u> in the general election campaign, [the amount of the general election campaign grant for which] the qualified candidate committee for said candidate shall <u>not</u> be eligible [shall be thirty per cent of the applicable amount set forth in subsections (a) to (i), inclusive; and] <u>to receive a general election campaign grant.</u> For purposes of this chapter, a participating candidate who is nominated for election shall be deemed unopposed in the general election campaign unless, in the applicable race, the following occur: (A) (i) A major party other than said candidate's party endorses a candidate, other than said candidate, and makes the requisite filing with the Secretary of the State within the time specified in section 9-388, 9-391 or 9-400, as applicable, (ii) a candidate, other than said

candidate, of a major party other than said candidate's party receives not less than fifteen per cent of the vote of convention delegates and complies with the filing requirements set forth in section 9-400, (iii) a candidate, other than said candidate, of a major party other than said candidate's party circulates a petition and obtains the required number of signatures for filing a candidacy for nomination and either qualifies for the primary or is the party's nominee, or (iv) a candidate, other than said candidate, qualifies as an eligible minor party candidate, as defined in section 9-700, as amended by this act, or qualifies as an eligible petitioning party candidate, as defined in said section 9-700, and (B) a candidate described in subparagraph (A) of this subdivision is required, pursuant to the provisions of section 9-604, to form a candidate committee or is exempt from forming a candidate committee under section 9-604, but required to file statements according to the same schedule and in the same manner as required under section 9-608, or is required to have another committee file such report of expenditures on the candidate's behalf; and

[(4) If the only opponent or opponents of a participating candidate who is nominated for election to an office are eligible minor party candidates or eligible petitioning party candidates and no such eligible minor party candidate's or eligible petitioning party candidate's candidate committee has received a total amount of contributions of any type that is equal to or greater than the amount of the qualifying contributions that a candidate for such office is required to receive under section 9-704 to be eligible for grants from the Citizens' Election Fund, the amount of the general election campaign grant for such participating candidate shall be sixty per cent of the applicable amount set forth in this section.]

(4) If, subsequent to being deemed an unopposed candidate pursuant to subdivision (3) of this subsection, such participating candidate shall no longer qualify as unopposed, the applicable general election grant that such participating candidate's qualified candidate committee is eligible to receive pursuant to subsections (a) to (g), inclusive, of this section shall be reduced by the amount of any

812 <u>additional contributions raised pursuant to subdivision (2) of</u> 813 subsection (c) of section 9-702, as amended by this act.

- Sec. 5. (NEW) (Effective from passage) (a) (1) (A) The qualified candidate committee of a major party candidate for the office of Governor who has a primary for nomination to said office may be eligible, in accordance with the provisions of this subsection and subsection (g) of this section, to receive a supplemental grant from the Citizens' Election Fund for the primary campaign, in addition to a grant received pursuant to subsection (a) of section 9-705 of the general statutes, as amended by this act.
 - (B) The amount of the grant pursuant to this subdivision shall be determined pursuant to subsection (g) of this section, but in no case shall exceed the maximum amount provided for in this subparagraph. In the case of a primary held in 2010, the maximum amount of such supplemental grant shall be nine hundred thirty-seven thousand five hundred dollars. In the case of a primary held in 2014, or thereafter, the maximum amount of such grant shall be adjusted under subsection (c) of this section.
 - (2) (A) The qualified candidate committee of a candidate for the office of Governor that received a grant pursuant to section 9-705 of the general statutes, as amended by this act, may be eligible, in accordance with the provisions of this subsection and subsection (g) of this section, to receive a supplemental grant from the fund for the general election campaign, in addition to a grant received pursuant to said section 9-705.
 - (B) The amount of the grant pursuant to this subdivision shall be determined pursuant to subsection (g) of this section, but in no case shall exceed the maximum amount provided for in this subparagraph. In the case of an election held in 2010, the maximum amount of such supplemental grant shall be two million two hundred fifty thousand dollars. In the case of an election held in 2014, or thereafter, the maximum amount of such grant shall be adjusted under subsection (c) of this section.

(b) (1) (A) The qualified candidate committee of a major party candidate for the office of Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer who has a primary for nomination to said office may be eligible, in accordance with the provisions of this subsection and subsection (g) of this section, to receive a supplemental grant from the Citizens' Election Fund for the primary campaign, in addition to a grant received pursuant to section 9-705 of the general statutes, as amended by this act.

- (B) The amount of the grant pursuant to this subdivision shall be determined pursuant to subsection (g) of this section, but in no case shall exceed the maximum amount provided for in this subparagraph. In the case of a primary held in 2010, the maximum amount of such supplemental grant shall be one hundred eighty thousand dollars. In the case of a primary held in 2014, or thereafter, the maximum amount of such grant shall be adjusted under subsection (c) of this section.
- (2) (A) The qualified candidate committee of a candidate for the office of Attorney General, State Comptroller, Secretary of the State or State Treasurer that received a grant pursuant to section 9-705 of the general statutes, as amended by this act, may be eligible, in accordance with the provisions of this subsection and subsection (g) of this section, to receive a supplemental grant from the Citizens' Election Fund for the general election campaign, in addition to a grant received pursuant to said section 9-705.
- (B) The amount of the grant pursuant to this subdivision shall be determined pursuant to subsection (g) of this section, but in no case shall exceed the maximum amount provided for in this subparagraph. In the case of an election held in 2010, the maximum amount of such supplemental grant shall be three hundred seventy-five thousand dollars. In the case of a primary held in 2014, or thereafter, the maximum amount of such grant shall be adjusted under subsection (c) of this section.
- (c) For elections held in 2014, and thereafter, the maximum amount of the grants in subsections (a) and (b) of this section shall be adjusted

by the commission not later than January 15, 2014, and quadrennially thereafter, in accordance with any change in the consumer price index for all urban consumers as published by the United States Department of Labor, Bureau of Labor Statistics, during the period beginning on January 1, 2010, and ending on December thirty-first in the year preceding the year in which said adjustment is to be made.

(d) (1) (A) The qualified candidate committee of a major party candidate for the office of state senator who has a primary for nomination to said office may be eligible to receive a supplemental grant from the fund for the primary campaign, in addition to the grant received pursuant to subsection (d) of section 9-705 of the general statutes, as amended by this act, in accordance with the provisions of this subsection and subsection (g) of this section, if (i) the candidate is seeking the nomination to an office for which the incumbent is not seeking reelection, or (ii) for any two of the three primaries for such candidate's political party's nomination for said office immediately prior to such primary, the percentage difference between the two candidates receiving the highest number of whole votes for said nomination was less than ten.

(B) The amount of the grant pursuant to this subdivision shall be determined pursuant to subsection (g) of this section, but in no case shall exceed the maximum amount provided for in this subparagraph. In the case of a primary held in 2010, the maximum amount of such supplemental grant shall be twelve thousand five hundred dollars, provided if the percentage of the electors in the district served by said office who are enrolled in said major party exceeds the percentage of the electors in said district who are enrolled in another major party by at least twenty percentage points, the amount of said grant shall be twenty-seven thousand dollars. In the case of a primary held in 2012, or thereafter, the maximum amount of such grant shall be adjusted under subsection (f) of this section. For the purposes of this subparagraph, the number of enrolled members of a major party and the number of electors in a district shall be determined by the latest enrollment and voter registration records in the office of the Secretary

of the State submitted in accordance with the provisions of section 9-65 of the general statutes. The names of electors on the inactive registry list compiled under section 9-35 of the general statutes shall not be counted for such purposes.

- (2) (A) The qualified candidate committee of a candidate for the office of state senator that received a grant under subsection (d) of section 9-705 of the general statutes, as amended by this act, may be eligible, in accordance with the provisions of this subsection and subsection (g) of this section, to receive a supplemental grant from the fund for the general election campaign, in addition to the grant received pursuant to subsection (d) of said section 9-705, if (i) the candidate is seeking election to an office for which the incumbent is not seeking reelection, or (ii) for any two of the three general elections for said office immediately prior to such election, the percentage difference between the two candidates receiving the highest number of whole votes for said office was less than ten.
- (B) The amount of the grant pursuant to this subdivision shall be determined pursuant to subsection (g) of this section, but in no case shall exceed the maximum amount provided for in this subparagraph. In the case of an election held in 2010 or 2011, the maximum amount of such supplemental grant shall be thirty thousand five hundred dollars. In the case of a general election held in 2012, or thereafter, the maximum amount of such grant shall be adjusted under subsection (f) of this section.
- (e) (1) (A) The qualified candidate committee of a major party candidate for the office of state representative who has a primary for nomination to said office may be eligible to receive a supplemental grant from the fund for the primary campaign, in addition to the grant received pursuant to subsection (e) of section 9-705 of the general statutes, as amended by this act, in accordance with the provisions of this subsection and subsection (g) of this section, if (i) the candidate is seeking the nomination to an office for which the incumbent is not seeking reelection, or (ii) for any two of the three primaries for such

candidate's political party's nomination for said office immediately prior to such primary, the percentage difference between the two candidates receiving the highest number of whole votes for said nomination was less than ten.

(B) The amount of the grant pursuant to this subdivision shall be determined pursuant to subsection (g) of this section, but in no case shall exceed the maximum amount provided for in this subparagraph. In the case of a primary held in 2010, the maximum amount of such supplemental grant shall be three thousand five hundred dollars, provided if the percentage of the electors in the district served by said office who are enrolled in said major party exceeds the percentage of the electors in said district who are enrolled in another major party by at least twenty percentage points, the amount of said grant shall be nine thousand dollars. In the case of a primary held in 2012, or thereafter, the maximum amount of such grant shall be adjusted under subsection (f) of this section. For the purposes of this subparagraph, the number of enrolled members of a major party and the number of electors in a district shall be determined by the latest enrollment and voter registration records in the office of the Secretary of the State submitted in accordance with the provisions of section 9-65 of the general statutes. The names of electors on the inactive registry list compiled under section 9-35 of the general statutes shall not be counted for such purposes.

(2) (A) The qualified candidate committee of a candidate for the office of state representative that received a grant under subsection (e) of section 9-705 of the general statutes, as amended by this act, may be eligible, in a accordance with the provisions of this subsection and subsection (g) of this section, to receive a supplemental grant from the fund for the general election campaign, in addition to the grant received pursuant to subsection (e) of said section 9-705, if (i) the candidate is seeking election to an office for which the incumbent is not seeking reelection, or (ii) for any two of the three general elections for said office prior to such election, the percentage difference between the two candidates receiving the highest number of whole votes for

979 said office was less than ten.

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(B) The amount of the grant pursuant to this subdivision shall be determined pursuant to subsection (g) of this section, but in no case shall exceed the maximum amount provided for in this subparagraph. In the case of an election held in 2010 or 2011, the maximum amount of such supplemental grant shall be nine thousand dollars. In the case of a general election held in 2012, or thereafter, the maximum amount of such grant shall be adjusted under subsection (f) of this section.

- (f) For elections held in 2012, and thereafter, the maximum amount of the grants in subsections (d) and (e) of this section shall be adjusted by the commission not later than January 15, 2012, and biennially thereafter, in accordance with any change in the consumer price index for all urban consumers as published by the United States Department of Labor, Bureau of Labor Statistics, during the period beginning on January 1, 2010, and ending on December thirty-first in the year preceding the year in which said adjustment is to be made.
- (g) (1) Any qualified candidate committee described in subsection (a), (b), (d) or (e) of this section is eligible to receive a supplemental grant under this section for a primary campaign, if applicable, and a general election campaign if (A) the qualified candidate committee receives supplemental qualifying contributions under section 9-704 of the general statutes, as amended by this act, for a supplemental grant under this section, (B) the qualified candidate committee returns all contributions that do not meet the criteria for supplemental qualifying contributions under said section 9-704, (C) the candidate agrees to limit the campaign expenditures of the candidate's qualified candidate committee in accordance with the provisions of section 9-702 of the general statutes, as amended by this act, and (D) the qualified candidate committee submits an application and the commission approves the application in accordance with the provisions of this section and subsections (a) and (b) of section 9-706 of the general statutes, as amended by this act.
- 1011 (2) The commission shall review each application in accordance

with the provisions of subsection (d) of section 9-706 of the general statutes, as amended by this act. If the commission approves an application of any such qualified candidate committee, the commission shall determine the amount of the supplemental grant payable to the committee to be equal to three times the amount of contributions received by the committee that qualify as supplemental qualifying contributions for a supplemental grant under section 9-704 of the general statutes, as amended by this act, but in no case shall the amount of the supplemental grant exceed the maximum amounts provided for in subsection (a), (b), (d) or (e) of this section, as applicable. The commission shall authorize the payment of such grant in accordance with the provisions of subsection (d) of said section 9-706.

- (h) Notwithstanding the provisions of subsection (d) or (e) of this section, in the case of a special election for the office of state senator or state representative, the maximum amount of the supplemental grant for a general election campaign shall be seventy-five per cent of the amount authorized under the applicable said subsection (d) or (e).
- (i) Notwithstanding the provisions of subsections (a) to (h), inclusive, of this section, if a participating candidate receives a supplemental grant for a primary campaign under subsection (a), (b), (d) or (e) of this section, is nominated at the primary and does not expend the entire supplemental grant for the primary campaign, the amount of the supplemental grant for the general election campaign shall be reduced by the total amount of any such unexpended supplemental primary campaign grant.
- Sec. 6. Section 9-706 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- (a) (1) A participating candidate for nomination to the office of state senator or state representative in 2008, or thereafter, or the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer in 2010, or thereafter, may apply to the State Elections Enforcement Commission for a grant from

the fund under the Citizens' Election Program for a primary campaign, after the close of the state convention of the candidate's party that is called for the purpose of choosing candidates for nomination for the office that the candidate is seeking, if a primary is required under chapter 153, and (A) said party endorses the candidate for the office that the candidate is seeking, (B) the candidate is seeking nomination to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State or the district office of state senator or state representative and receives at least fifteen per cent of the votes of the convention delegates present and voting on any roll-call vote taken on the endorsement or proposed endorsement of a candidate for the office the candidate is seeking, or (C) the candidate circulates a petition and obtains the required number of signatures for filing a candidacy for nomination for (i) the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State or the district office of state senator or state representative, pursuant to section 9-400, or (ii) the municipal office of state senator or state representative, pursuant to section 9-406, whichever is applicable. The State Elections Enforcement Commission shall make any such grants to participating candidates in accordance with the provisions of subsections (d) to (g), inclusive, of this section.

(2) A participating candidate for nomination to the office of state senator or state representative in 2008, or thereafter, or the office of Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer in 2010, or thereafter, may apply to the State Elections Enforcement Commission for a grant from the fund under the Citizens' Election Program for a general election campaign:

(A) After the close of the state or district convention or municipal caucus, convention or town committee meeting, whichever is applicable, of the candidate's party that is called for the purpose of choosing candidates for nomination for the office that the candidate is seeking, if (i) said party endorses said candidate for the office that the candidate is seeking and no other candidate of said party files a

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candidacy with the Secretary of the State in accordance with the provisions of section 9-400 or 9-406, whichever is applicable, (ii) the candidate is seeking election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State or the district office of state senator or state representative and receives at least fifteen per cent of the votes of the convention delegates present and voting on any roll-call vote taken on the endorsement or proposed endorsement of a candidate for the office the candidate is seeking, no other candidate for said office at such convention either receives the party endorsement or said percentage of said votes for said endorsement or files a certificate of endorsement with the Secretary of the State in accordance with the provisions of section 9-388 or a candidacy with the Secretary of the State in accordance with the provisions of section 9-400, and no other candidate for said office circulates a petition and obtains the required number of signatures for filing a candidacy for nomination for said office pursuant to section 9-400, (iii) the candidate is seeking election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State or the district office of state senator or state representative, circulates a petition and obtains the required number of signatures for filing a candidacy for nomination for said office pursuant to section 9-400 and no other candidate for said office at the state or district convention either receives the party endorsement or said percentage of said votes for said endorsement or files a certificate of endorsement with the Secretary of the State in accordance with the provisions of section 9-388 or a candidacy with the Secretary of the State in accordance with the provisions of section 9-400, or (iv) the candidate is seeking election to the municipal office of state senator or state representative, circulates a petition and obtains the required number of signatures for filing a candidacy for nomination for the office the candidate is seeking pursuant to section 9-406 and no other candidate for said office at the caucus, convention or town committee meeting either receives the party endorsement or files a certification of endorsement with the town clerk in accordance with the provisions of section 9-391;

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1114 (B) After any primary held by such party for nomination for said office, if the Secretary of the State declares that the candidate is the party nominee in accordance with the provisions of section 9-440;

- (C) In the case of a minor party candidate, after the nomination of such candidate is certified and filed with the Secretary of the State pursuant to section 9-452; or
- (D) In the case of a petitioning party candidate, after approval by the Secretary of the State of such candidate's nominating petition pursuant to section 9-453o.
- (3) A participating candidate for nomination to the office of state senator or state representative at a special election in 2008, or thereafter, may apply to the State Elections Enforcement Commission for a grant from the fund under the Citizens' Election Program for a general election campaign after the close of the district convention or municipal caucus, convention or town committee meeting of the candidate's party that is called for the purpose of choosing candidates for nomination for the office that the candidate is seeking.
- (4) Notwithstanding the provisions of subdivisions (1) and (2) of this subsection, no participating candidate for nomination or election who changes the candidate's status as a major party, minor party or petitioning party candidate or becomes a candidate of a different party, after filing the affidavit required under section 9-703, as amended by this act, shall be eligible to apply for a grant under the Citizens' Election Program for such candidate's primary campaign for such nomination or general election campaign for such election. The provisions of this subdivision shall not apply in the case of a candidate who is nominated by more than one party and does not otherwise change the candidate's status as a major party, minor party or petitioning party candidate.
- (b) The application shall include a written certification that:
- 1144 (1) The candidate committee has received the required amount of

- 1145 qualifying contributions;
- 1146 (2) The candidate committee has repaid all moneys borrowed on 1147 behalf of the campaign, as required by subsection (b) of section 9-710;
- 1148 (3) The candidate committee has returned any contribution of five 1149 dollars or more from an individual who does not include the 1150 individual's name and address with the contribution;
- 1151 (4) The candidate committee has returned all contributions or portions of contributions that do not meet the criteria for qualifying contributions under section 9-704, as amended by this act, and transmitted all excess qualifying contributions and supplemental qualifying contributions to the Citizens' Election Fund, except as provided for under subsection (e) of said section 9-704;
- 1157 (5) The campaign treasurer of the candidate committee will: (A)
 1158 Comply with the provisions of chapters 155 and 157, and (B) maintain
 1159 and furnish all records required pursuant to chapters 155 and 157 and
 1160 any regulation adopted pursuant to such chapters;
- 1161 (6) All moneys received from the Citizens' Election Fund will be 1162 deposited upon receipt into the depository account of the candidate 1163 committee;
- 17) The campaign treasurer of the candidate committee will expend all moneys received from the fund in accordance with the provisions of subsection (g) of section 9-607 and regulations adopted by the State Elections Enforcement Commission under subsection (e) of this section; and
 - (8) If the candidate withdraws from the campaign, becomes ineligible or dies during the campaign, the candidate committee of the candidate will return to the commission, for deposit in the fund, all moneys received from the fund pursuant to [sections 9-700 to 9-716, inclusive] this chapter, and section 5 of this act, which said candidate committee has not spent as of the date of such occurrence.

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(c) The application shall be accompanied by a cumulative itemized accounting of all funds received, expenditures made and expenses incurred but not yet paid by the candidate committee as of three days before the applicable application deadline contained in subsection (g) of this section. Such accounting shall be sworn to under penalty of false statement by the campaign treasurer of the candidate committee. The commission shall prescribe the form of the application and the cumulative itemized accounting. The form for such accounting shall conform to the requirements of section 9-608. Both the candidate and the campaign treasurer of the candidate committee shall sign the application.

(d) In accordance with the provisions of subsection (g) of this section, the commission shall review the application, determine whether (1) the candidate committee for the applicant has received the required qualifying contributions, (2) in the case of an application for a grant from the fund for a primary campaign, the applicant has met the applicable condition under subsection (a) of this section for applying for such grant and complied with the provisions of subsections (b) and (c) of this section, (3) in the case of an application for a grant from the fund for a general election campaign, the applicant has met the applicable condition under subsection (a) of this section for applying for such moneys and complied with the provisions of subsections (b) and (c) of this section, and (4) in the case of an application by a minor party or petitioning party candidate for a grant from the fund for a general election campaign, the applicant qualifies as an eligible minor party candidate or an eligible petitioning party candidate, whichever is applicable. If the commission approves an application, the commission shall determine the amount of the grant payable to the candidate committee for the applicant pursuant to section 9-705, as amended by this act, or section 5 of this act, from the fund, and notify the State Comptroller and the candidate of such candidate committee, of such amount. If the timing of the commission's approval of the grant in relation to the Secretary of the State's determination of ballot status is such that the commission cannot determine whether the qualified candidate committee is entitled to the applicable full initial grant for

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the primary or election or the applicable partial grant for the primary or election, as the case may be, the commission shall approve the lesser applicable partial initial grant. The commission shall then authorize the payment of the remaining portion of the applicable grant after the commission has knowledge of the circumstances regarding the ballot status of the opposing candidates in such primary or election. Not later than two business days following notification by the commission, the State Comptroller shall draw an order on the State Treasurer for payment of any such approved amount to the qualified candidate committee from the fund.

- (e) The State Elections Enforcement Commission shall adopt regulations, in accordance with the provisions of chapter 54, on permissible expenditures under subsection (g) of section 9-607 for qualified candidate committees receiving grants from the fund under [sections 9-700 to 9-716, inclusive] this chapter, and section 5 of this act.
- (f) If a nominated participating candidate dies, withdraws the candidate's candidacy or becomes disqualified to hold the office for which the candidate has been nominated after the commission approves the candidate's application for a grant under this section, the candidate committee of the candidate who is nominated to replace said candidate pursuant to section 9-460 shall be eligible to receive grants from the fund without complying with the provisions of section 9-704, as amended by this act, if said replacement candidate files an affidavit under section 9-703, as amended by this act, certifying the candidate's intent to abide by the expenditure limits set forth in subsection (c) of section 9-702, as amended by this act, and notifies the commission on a form prescribed by the commission.
- (g) (1) (A) Any application submitted pursuant to this section for a primary or general election shall be submitted in accordance with the [following schedule: (A) By five o'clock p.m. on the third Thursday in May of the year that the primary or election will be held at which such participating candidate will seek nomination or election, or (B) by five o'clock p.m. on any subsequent Thursday of such year, provided no

application shall be accepted by the commission after five o'clock p.m. on or after the fourth to last Friday prior to the primary or election at which such participating candidate will seek nomination or election] schedules provided for in subparagraph (B) of this subdivision.

- 1247 (B) (i) An applicant seeking a grant for a primary campaign under 1248 section 9-705, as amended by this act, or a supplemental grant for a 1249 primary campaign under section 5 of this act shall apply as follows:
- 1250 <u>(I) By five o'clock p.m. on the third Thursday in May of the year that</u> 1251 <u>the primary or election will be held at which such participating</u> 1252 candidate will seek nomination or election.
- 1253 (II) By five o'clock p.m. on any subsequent Thursday of such year, 1254 provided no application shall be accepted by the commission after five 1255 o'clock p.m. on or after the fourth Friday immediately prior to the 1256 primary at which such participating candidate will seek nomination.
- 1257 (III) Notwithstanding the provisions of this subparagraph concerning applications for grants for a primary campaign, on the 1258 1259 seventh and ninth Thursdays immediately prior to the primary, the commission shall only review and make determinations about 1260 applications for an initial grant under section 9-705, as amended by 1261 1262 this act, or a supplemental grant under section 5 of this act, for 1263 candidates seeking nomination to the office of Governor, Lieutenant 1264 Governor, Attorney General, State Comptroller, Secretary of the State 1265 or State Treasurer, and supplemental submissions to previously submitted applications continued without prejudice for candidates 1266 1267 seeking nomination to the office of Governor, Lieutenant Governor, 1268 Attorney General, State Comptroller, Secretary of the State, State 1269 Treasurer, state senator or state representative, and on the third 1270 Thursday immediately prior to the primary, the commission shall only 1271 review and make determinations about applications for supplemental 1272 grants under section 5 of this act for candidates seeking nomination to 1273 the office of Governor, Lieutenant Governor, Attorney General, State 1274 Comptroller, Secretary of the State or State Treasurer.

(ii) An applicant seeking a grant for a general election campaign
 under section 9-705, as amended by this act, or a supplemental grant
 for a general election campaign under section 5 of this act shall apply
 as follows:

- 1279 <u>(I) By five o'clock p.m. on the third Thursday in May of the year that</u> 1280 <u>the election will be held in which such participating candidate will</u> 1281 seek election.
- 1282 (II) By five o'clock p.m. on any subsequent Thursday of such year, provided no application shall be accepted by the commission after five 1283 1284 o'clock p.m. on or after the fifth Thursday immediately prior to the 1285 election at which such participating candidate will seek election in the 1286 case of an applicant seeking a grant under section 9-705, as amended by this act, and no application shall be accepted by the commission 1287 1288 after five o'clock p.m. on or after the third Thursday immediately prior 1289 to the election at which such participating candidate will seek election 1290 in the case of an applicant seeking a supplemental grant under section 1291 5 of this act.
 - (III) Notwithstanding the provisions of this section concerning applications for grants for a general election campaign, only on the ninth Thursday immediately prior to the election, on the fourth Thursday immediately prior to the election, and on the third Thursday immediately prior to the election, the commission shall only review and make determinations about applications for supplemental grants under section 5 of this act and supplemental submissions to previously submitted applications continued without prejudice for candidates seeking election to the office of Governor, Attorney General, State Comptroller, Secretary of the State, State Treasurer, state senator or state representative.
 - (C) Not later than (i) four business days following any such Thursday or Friday, [as applicable, or, in the event of a national, regional or local emergency or local natural disaster, as soon thereafter as is practicable] as described in subparagraph (B) of this subdivision, the commission shall review any application from a participating

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candidate seeking nomination or election to the office of state senator or state representative, or (ii) ten business days following any such Thursday or Friday, as described in said subparagraph (B), from participating candidates seeking nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, received by such Thursday or Friday, in accordance with the provisions of subsection (d) of this section, and determine whether such application shall be approved or disapproved. In the case of a natural, regional or local emergency or local natural disaster, the commission shall review any such application as soon thereafter as is practicable. For any such initial submission of an application that is approved, any disbursement of funds shall be made not later than twelve business days prior to any such primary or general election. From the third week of June in even-numbered years until the third week in July, the commission shall meet twice weekly to determine whether or not to approve applications for grants if there are pending grant applications.

(2) Notwithstanding the provisions of subdivision (1) of this subsection, no application for a special election shall be accepted by the commission after five o'clock p.m. on or after ten business days prior to the special election at which such participating candidate will seek election. Not later than three business days following such deadline, or, in the event of a national, regional or local emergency or local natural disaster, as soon thereafter as practicable, the commission shall review any such application received by such deadline, in accordance with the provisions of subsection (d) of this section, and determine whether such application shall be approved or disapproved. For any such application that is approved, any disbursement of funds shall be made not later than seven business days prior to any such special election.

(3) The commission shall publish such application review schedules and meeting schedules on the commission's web site and with the Secretary of the State.

Sec. 7. Section 9-701 of the 2010 supplement to the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

There is established the "Citizens' Election Fund", which shall be a separate, nonlapsing account within the General Fund. The fund may contain any moneys required by law to be deposited in the fund. Investment earnings credited to the assets of the fund shall become part of the assets of the fund. The State Treasurer shall administer the fund. All moneys deposited in the fund shall be used for the purposes of sections 9-700 to 9-716, inclusive, as amended by this act, and section 5 of this act.

- Sec. 8. Section 9-703 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- 1354 (a) Each candidate for nomination or election to the office of state 1355 senator or state representative in 2008, or thereafter, or the office of 1356 Governor, Lieutenant Governor, Attorney General, State Comptroller, 1357 Secretary of the State or State Treasurer in 2010, or thereafter, shall file 1358 an affidavit with the State Elections Enforcement Commission. The 1359 affidavit shall include a written certification that the candidate either 1360 intends to abide by the expenditure limits under the Citizens' Election 1361 Program set forth in subsection (c) of section 9-702, as amended by this 1362 act, or does not intend to abide by said limits. If the candidate intends 1363 to abide by said limits, the affidavit shall also include written 1364 certifications (1) that the campaign treasurer of the candidate 1365 committee for said candidate shall expend any moneys received from 1366 the Citizens' Election Fund in accordance with the provisions of 1367 subsection (g) of section 9-607 and regulations adopted by the State Elections Enforcement Commission under subsection (e) of section 9-1368 1369 706, as amended by this act, (2) that the candidate shall repay to the 1370 fund any such moneys that are not expended in accordance with 1371 subsection (g) of said section 9-607 and said regulations, (3) that the 1372 candidate and the campaign treasurer shall comply with the 1373 provisions of subdivision (1) of subsection (a) of section 9-711, as

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amended by this act, and (4) stating the candidate's status as a major party, minor party or petitioning party candidate and, in the case of a major party or minor party candidate, the name of such party. The written certification described in subdivision (3) of this subsection shall be made by both the candidate and the campaign treasurer of the candidate committee for said candidate. A candidate for nomination or election to any such office shall file such affidavit not later than four o'clock p.m. on the twenty-fifth day before the day of a primary, if applicable, or on the fortieth day before the day of the election for such office, except that in the case of a special election for the office of state senator or state representative, the candidate shall file such affidavit not later than four o'clock p.m. on the twenty-fifth day before the day of such special election.

- (b) A candidate who so certifies the candidate's intent to abide by the expenditure limits under the Citizens' Election Program set forth in subsection (c) of section 9-702, as amended by this act, shall be referred to in [sections 9-700 to 9-716, inclusive,] this chapter and section 5 of this act as a "participating candidate" and a candidate who so certifies the candidate's intent to not abide by said limits shall be referred to in [sections 9-700 to 9-716, inclusive,] this chapter and section 5 of this act as a "nonparticipating candidate". The commission shall prepare a list of the participating candidates and a list of the nonparticipating candidates and shall make such lists available for public inspection.
- (c) A participating candidate may withdraw from participation in the Citizens' Election Program before applying for an initial grant under section 9-706, as amended by this act, by filing an affidavit with the State Elections Enforcement Commission, which includes a written certification of such withdrawal. A candidate who files such an affidavit shall be deemed to be a nonparticipating candidate for the purposes of [sections 9-700 to 9-716, inclusive,] this chapter and section 5 of this act and shall not be penalized for such withdrawal. No participating candidate shall withdraw from participation in the Citizens' Election Program after applying for an initial grant under section 9-706, as amended by this act.

Sec. 9. Section 9-707 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

Following the initial deposit of moneys from the Citizens' Election Fund into the depository account of a qualified candidate committee, no contribution, loan, amount of the candidate's own moneys or any other moneys received by the candidate or the campaign treasurer on behalf of the committee shall be deposited into said depository account, except (1) any grants from the fund under section 9-705, as amended by this act, and section 5 of this act, and (2) [any additional moneys from the fund as provided in sections 9-713 and 9-714] any supplemental qualifying contributions received in accordance with the provisions of subsection (b) of section 9-704, as amended by this act, and the provisions of subdivision (3) of subsection (c) of section 9-702, as amended by this act.

Sec. 10. Section 9-708 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

A qualified candidate committee that received moneys from the Citizens' Election Fund for a primary campaign and whose candidate is the party nominee shall receive a grant from the fund for a general election campaign, unless such candidate is unopposed in the general election campaign as described in subdivision (3) of subsection (h) of section 9-705, as amended by this act. Upon receiving verification from the Secretary of the State of the declaration by the Secretary of the State in accordance with the provisions of section 9-440 of the results of the votes cast at the primary, the State Elections Enforcement Commission shall notify the State Comptroller of the amount payable to such qualified candidate committee pursuant to section 9-705, as amended by this act. Not later than two business days following notification by the commission, the State Comptroller shall draw an order on the State Treasurer for payment of the general election campaign grant to said committee from said fund.

Sec. 11. Subsection (a) of section 9-711 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from*

1441 *passage*):

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(a) If an expenditure in excess of the applicable expenditure limit set forth in subsection (c) of section 9-702, as amended by this act, is made or incurred by a qualified candidate committee that receives a grant from the Citizens' Election Fund pursuant to section 9-706, as amended by this act, (1) the candidate and campaign treasurer of said committee shall be jointly and severally liable for paying for the excess expenditure, (2) the committee shall not receive any additional grants or moneys from the fund for the remainder of the election cycle if the State Elections Enforcement Commission determines that the candidate or campaign treasurer of said committee had knowledge of the excess expenditure, (3) the campaign treasurer shall be subject to penalties under section 9-7b, and (4) the candidate of said candidate committee shall be deemed to be a nonparticipating candidate for the purposes of [sections 9-700 to 9-716, inclusive,] this chapter and section 5 of this act if the commission determines that the candidate or campaign treasurer of said committee had knowledge of the excess expenditure. The commission may waive the provisions of this subsection upon determining that an excess expenditure is de minimis. The commission shall adopt regulations, in accordance with the provisions of chapter 54, establishing standards for making such determinations. Such standards shall include, but not be limited to, a finding by the commission that the candidate or campaign treasurer has, from the candidate's or campaign treasurer's personal funds, either paid the excess expenditure or reimbursed the qualified candidate committee for its payment of the excess expenditure.

Sec. 12. Subsection (b) of section 9-712 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

(b) (1) As used in this section, [and section 9-713,] "excess expenditure" means an expenditure made, or obligated to be made, by a nonparticipating or a participating candidate who is opposed by one or more other participating candidates in a primary campaign or a

general election campaign, which is in excess of the amount of the applicable limit on expenditures for said participating candidates for said campaign and which is the sum of (A) the applicable qualifying contributions that the participating candidate is required to receive under section 9-704, as amended by this act, to be eligible for grants from the Citizens' Election Fund, and (B) one hundred per cent of the applicable full grant amount for a major party candidate authorized under section 9-705, as amended by this act, for the applicable campaign period.

- (2) The commission shall confirm whether an expenditure described in a declaration filed under this subsection is an excess expenditure.
- Sec. 13. Section 9-716 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- (a) Not later than June 1, 2007, and annually thereafter, the State Elections Enforcement Commission shall issue a report on the status of the Citizens' Election Fund during the previous calendar year. Such report shall include the amount of moneys deposited in the fund, the sources of moneys received by category, the number of contributions, the number of contributors, the amount of moneys expended by category, the recipients of moneys distributed from the fund and an accounting of the costs incurred by the commission in administering the provisions of [sections 9-700 to 9-716, inclusive] this chapter and section 5 of this act.
 - (b) Not later than January first in any year in which a state election is to be held, the commission shall determine whether the amount of moneys in the fund is sufficient to carry out the purposes of [sections 9-700 to 9-716, inclusive] this chapter and section 5 of this act. If the commission determines that such amount is not sufficient to carry out such purposes, the commission shall, not later than three days after such later determination, (1) determine the percentage of the fund's obligations that can be met for such election, (2) recalculate the amount of each payment that each qualified candidate committee is entitled to receive under section 9-706, as amended by this act, by multiplying

such percentage by the amount that such committee would have been entitled to receive under [sections 9-700 to 9-716, inclusive,] this chapter and section 5 of this act if there were a sufficient amount of moneys in the fund, and (3) notify each such committee of such insufficiency, percentage and applicable recalculation. After a qualified candidate committee under section 9-706, as amended by this act, first receives any such recalculated payment, the committee may resume accepting contributions, which shall not be subject to the restrictions on qualifying contributions under section 9-704, as amended by this act, and making expenditures from such contributions, up to the highest amount of expenditures made by an opposing nonparticipating candidate in the same primary campaign or general election campaign. The commission shall also issue a report on said determination.

- (c) The commission shall establish a reserve account in the fund. The first twenty-five thousand dollars deposited in the fund during any year shall be placed in said account. The commission shall use moneys in the reserve account only during the seven days preceding a primary or an election for payments to candidates [(1)] whose payments were reduced under subsection (b) of this section. [, or (2) who are entitled to funding to match, during said seven-day period, independent expenditures pursuant to section 9-714.]
- Sec. 14. Section 9-601a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- (a) As used in this chapter, [and sections 9-700 to 9-716, inclusive] that the chapter 157 and section 5 of this act, "contribution" means:
- (1) Any gift, subscription, loan, advance, payment or deposit of money or anything of value, made for the purpose of influencing the nomination for election, or election, of any person or for the purpose of aiding or promoting the success or defeat of any referendum question or on behalf of any political party;
- 1538 (2) A written contract, promise or agreement to make a contribution

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- 1539 for any such purpose;
- 1540 (3) The payment by any person, other than a candidate or campaign
- 1541 treasurer, of compensation for the personal services of any other
- person which are rendered without charge to a committee or candidate
- 1543 for any such purpose;
- (4) An expenditure when made by a person with the cooperation of,
- 1545 or in consultation with, any candidate, candidate committee or
- 1546 candidate's agent or which is made in concert with, or at the request or
- 1547 suggestion of, any candidate, candidate committee or candidate's
- agent, including a coordinated expenditure; or
- 1549 (5) Funds received by a committee which are transferred from
- another committee or other source for any such purpose.
- (b) As used in this chapter, [and sections 9-700 to 9-716, inclusive]
- 1552 <u>chapter 157 and section 5 of this act</u>, "contribution" does not mean:
- 1553 (1) A loan of money made in the ordinary course of business by a
- 1554 national or state bank;
- 1555 (2) Any communication made by a corporation, organization or
- 1556 association to its members, owners, stockholders, executive or
- administrative personnel, or their families;
- 1558 (3) Nonpartisan voter registration and get-out-the-vote campaigns
- by any corporation, organization or association aimed at its members,
- 1560 owners, stockholders, executive or administrative personnel, or their
- 1561 families;
- 1562 (4) Uncompensated services provided by individuals volunteering
- 1563 their time:
- 1564 (5) The use of real or personal property, and the cost of invitations,
- 1565 food or beverages, voluntarily provided by an individual to a
- 1566 candidate or on behalf of a state central or town committee, in
- 1567 rendering voluntary personal services for candidate or party-related

activities at the individual's residence, to the extent that the cumulative value of the invitations, food or beverages provided by the individual on behalf of any single candidate does not exceed two hundred dollars with respect to any single election, and on behalf of all state central and town committees does not exceed four hundred dollars in any calendar year;

- (6) The sale of food or beverage for use in a candidate's campaign or for use by a state central or town committee at a discount, if the charge is not less than the cost to the vendor, to the extent that the cumulative value of the discount given to or on behalf of any single candidate does not exceed two hundred dollars with respect to any single election, and on behalf of all state central and town committees does not exceed four hundred dollars in a calendar year;
- (7) Any unreimbursed payment for travel expenses made by an individual who on the individual's own behalf volunteers the individual's personal services to any single candidate to the extent the cumulative value does not exceed two hundred dollars with respect to any single election, and on behalf of all state central or town committees does not exceed four hundred dollars in a calendar year;
- (8) The payment, by a party committee, political committee or an individual, of the costs of preparation, display, mailing or other distribution incurred by the committee or individual with respect to any printed slate card, sample ballot or other printed list containing the names of three or more candidates;
- (9) The donation of any item of personal property by an individual to a committee for a fund-raising affair, including a tag sale or auction, or the purchase by an individual of any such item at such an affair, to the extent that the cumulative value donated or purchased does not exceed fifty dollars;
- 1597 (10) (A) The purchase of advertising space which clearly identifies 1598 the purchaser, in a program for a fund-raising affair sponsored by the 1599 candidate committee of a candidate for an office of a municipality,

1600 provided the cumulative purchase of such space does not exceed two 1601 hundred fifty dollars from any single such candidate or the candidate's 1602 committee with respect to any single election campaign if the 1603 purchaser is a business entity or fifty dollars for purchases by any 1604 other person;

- (B) The purchase of advertising space which clearly identifies the purchaser, in a program for a fund-raising affair sponsored by a town committee, provided the cumulative purchase of such space does not exceed two hundred fifty dollars from any single town committee in any calendar year if the purchaser is a business entity or fifty dollars for purchases by any other person. Notwithstanding the provisions of this subparagraph, the following may not purchase advertising space in a program for a fund-raising affair sponsored by a town committee: (i) A communicator lobbyist, (ii) a member of the immediate family of a communicator lobbyist, (iii) a state contractor, (iv) a prospective state contractor, or (v) a principal of a state contractor or prospective state contractor. As used in this subparagraph, "state contractor", "prospective state contractor" and "principal of a state contractor or prospective state contractor" have the same meanings as provided in subsection (g) of section 9-612;
- 1620 (11) The payment of money by a candidate to the candidate's candidate committee;
- 1622 (12) The donation of goods or services by a business entity to a 1623 committee for a fund-raising affair, including a tag sale or auction, to 1624 the extent that the cumulative value donated does not exceed one 1625 hundred dollars;
- 1626 (13) The advance of a security deposit by an individual to a 1627 telephone company, as defined in section 16-1, for telecommunications 1628 service for a committee, provided the security deposit is refunded to 1629 the individual;
- 1630 (14) The provision of facilities, equipment, technical and managerial 1631 support, and broadcast time by a community antenna television

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company, as defined in section 16-1, for community access programming pursuant to section 16-331a, unless (A) the major purpose of providing such facilities, equipment, support and time is to influence the nomination or election of a candidate, or (B) such facilities, equipment, support and time are provided on behalf of a political party;

- (15) The sale of food or beverage by a town committee to an individual at a town fair, county fair or similar mass gathering held within the state, to the extent that the cumulative payment made by any one individual for such items does not exceed fifty dollars; or
- 1642 (16) An organization expenditure by a party committee, legislative 1643 caucus committee or legislative leadership committee.
- Sec. 15. Sections 9-713, 9-714 and 9-717 of the general statutes are repealed. (*Effective from passage*)

This act shall take effect as follows and shall amend the following				
sections:				
Section 1	from passage	9-700		
Sec. 2	from passage	9-702		
Sec. 3	from passage	9-704		
Sec. 4	from passage	9-705		
Sec. 5	from passage	New section		
Sec. 6	from passage	9-706		
Sec. 7	from passage	9-701		
Sec. 8	from passage	9-703		
Sec. 9	from passage	9-707		
Sec. 10	from passage	9-708		
Sec. 11	from passage	9-711(a)		
Sec. 12	from passage	9-712(b)		
Sec. 13	from passage	9-716		
Sec. 14	from passage	9-601a		
Sec. 15	from passage	Repealer section		

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Statement of Legislative Commissioners:

In sections 1, 2(c), 3(a) and (b), 4(h), 5(d), (e) and (i), and 10 provisions were rephrased for clarity and statutory consistency and internal references were revised for accuracy.

GAE Joint Favorable Subst.

The following Fiscal Impact Statement and Bill Analysis are prepared for the benefit of the members of the General Assembly, solely for purposes of information, summarization and explanation and do not represent the intent of the General Assembly or either chamber thereof for any purpose. In general, fiscal impacts are based upon a variety of informational sources, including the analyst's professional knowledge. Whenever applicable, agency data is consulted as part of the analysis, however final products do not necessarily reflect an assessment from any specific department.

OFA Fiscal Note

State Impact:

Agency Affected	Fund-Effect	FY 10 \$	FY 11 \$	FY 12 \$
Elect.	GF - Savings	300,000 -	2,700,000 -	100,000
Enforcement		500,000	4,500,000	
Com.				

Note: GF=General Fund

Municipal Impact: None

Explanation

The bill would save the Citizens' Election Program (CEP) an estimated \$3 million - \$5 million during the November 2010 election cycle by:

- (1) Reducing initial grants to candidates for statewide offices other than Governor;
- (2) Eliminating independent expenditure and excess expenditure grants to candidates for any statewide office and replacing them with matching (according to contribution levels) supplemental grants; and
- (3) Eliminating grants for unopposed statewide and legislative candidates.

The savings indicated above would be partially offset by the provision of the bill that changes the threshold for minor and petitioning party ("third party") candidates for legislative offices to receive grants.

It is estimated that the cost of the November 2010 election will range from \$32 million - \$48 million under provisions within current law. The bill reduces this range to \$29 million - \$43 million, depending

upon participation levels in the CEP.

The Citizens' Election Fund (CEF), which supports the CEP, has a balance of \$43 million. The CEF is scheduled to receive approximately \$18 million in revenue during FY 11 with a planned transfer of \$7 million to the General Fund (net gain of \$11 million) to help cover the FY 11 General Fund projected deficit. The total funds available are therefore approximately \$54 million. It is uncertain whether or not the full \$18 million in revenue will be deposited into the fund prior to the November 2010 election since the deposits are dependant upon the amounts and timing of funds generated from unclaimed property.

HB 5021: Changes in Grant Amounts				
	Curren	t Law	HB 5	021
		General		General
	Primary	Election	Primary	Election
Statewide				
Governor's Office				
Grant Amount	1,250,000	3,000,000	1,250,000	3,000,000
Independent Expenditure (max)	1,250,000	3,000,000	1,230,000	3,000,000
Excess Expenditure (max)	1,250,000	3,000,000		
Supplemental Grant ¹	1,200,000	0,000,000	937,500	2,250,000
			007,000	2,200,000
Other Statewide Offices				
Grant Amount	375,000	750,000	200,000	500,000
Independent Expenditure (max)	375,000	750,000		
Excess Expenditure (max)	375,000	750,000		
Supplemental Grant ¹			180,000	375,000
Legislature	T			
Sen ate				
Grant Amount	35,000	85,000	25,000	61,000
Independent Expenditure (max)	35,000	85,000	23,000	01,000
Excess Expenditure (max)	35,000	85,000		
Supplemental Grant ¹	00,000	00,000	12,500	30,500
Cappiomental Crank			. 2,000	00,000
House				
Grant Amount	10,000	25,000	7,000	18,000
Independent Expenditure (max)	10,000	25,000		
Excess Expenditure (max)	10,000	25,000		
Supplemental Grant ¹			3,500	9,000

¹Supplemental grants are distributed based on a 3:1 matching program where candidates received \$3 for every \$1 raised through additional contributions, up to a maximum amount. For example, in the gubernatorial primary, candidates may raise up to an additional \$312,500 and receive a matching grant of \$937,500.

The Out Years

The ongoing fiscal impact identified above would vary according to the election cycle and is subject to inflation.

OLR Bill Analysis sHB 5021

AN ACT CONCERNING THE CITIZENS' ELECTION PROGRAM.

SUMMARY:

This bill makes changes to the Citizens' Election Program (CEP), the state's voluntary public financing system. Principally, it equalizes general election grants for major, minor, and petitioning party candidates who participate in the program (participating candidates). And, it reduces both primary and general election grants for every office except governor.

The bill eliminates general election grants for unopposed participating candidates. Instead, it allows them to raise additional contributions up to 30% of the general election grant for that office (applicable grant).

It repeals the CEP's independent and excess expenditure provisions, thus eliminating matching grants for those purposes. Current law authorizes participating candidates to receive additional money in the form of matching grants if they are the target of independent expenditures promoting their defeat or if their opponent exceeds certain spending limits. Specifically, they may receive up to two times the applicable primary and general election grants to match independent and excess expenditures.

The bill replaces matching grants with supplemental qualifying contributions (QCs) and supplemental grants and authorizes payments from the Citizens' Election Fund (CEF) for these grants. It adds the new supplemental QCs and grants to candidate spending limits.

The bill revises the grant application and payment schedule. It blocks out certain dates for specified application types and generally

gives the State Elections Enforcement Commissions (SEEC) more time to review statewide office candidate applications.

Finally, the bill repeals the program's reverter clause and makes several conforming and technical changes.

EFFECTIVE DATE: Upon passage

§ 3—QUALIFYING CONTRIBUTIONS

By law, candidates must qualify to participate in the program by raising a specified amount in QCs from individual donors. The bill increases the limit on individual QCs from \$100 to \$500 for gubernatorial candidates and from \$100 to \$250 for other statewide office candidates. For state senators and state representatives, the limit remains at \$100. The total amount of QCs required to qualify for the program also remains the same.

§§ 1-2 & 5—SUPPLEMENTAL QCs & GRANTS

The bill completely eliminates matching grants for independent and excess expenditures and replaces them with supplemental QCs and grants. Only qualified candidates who have been nominated or otherwise qualify to appear on the ballot and who are eligible to receive an initial grant from the CEF may receive supplemental QCs and grants. By law, qualified candidates are those whom the SEEC approves for a CEF grant. Since the bill eliminates grants for unopposed candidates, these candidates are not eligible for supplemental grants. Similarly, since minor and petitioning party candidates are not eligible for primary grants under the program, they may receive only general election supplemental QCs and grants.

The bill defines "supplemental qualifying contribution" as a contribution received to qualify for a supplemental grant and establishes the same criteria for them as initial QCs (e.g., contributions must be at least \$5). Likewise, it sets the limits on individual supplemental QCs equal to those on initial QCs. This means, for gubernatorial candidates the limit on individual supplemental QCs is \$500; for other statewide office candidates, the limit is \$250; and for

legislative candidates, \$100.

The bill permits candidates to receive supplemental QCs after "qualifying for" an initial grant, but it does not specify that qualifying means approval of an application.

Eligibility

A qualified candidate committee is eligible to receive a supplemental grant for a primary campaign, if applicable, or a general election if it (1) collects and receives supplemental QCs, (2) returns all such contributions that do not meet the criteria for supplemental QCs, and (3) submits an application, which the SEEC approves. In addition the candidate must agree to abide by the program's spending limits.

Candidates must submit an application, which the SEEC reviews just as it does primary and general election grant applications. Upon approval, the bill requires the commission to determine the supplemental grant amount, which equals three times the amount of supplemental QCs, up to the maximum for that office.

Additional Criteria for Legislative Candidates. The bill sets additional eligibility criteria for legislative candidates, whether from a major, minor, or petitioning party. Legislative candidates are eligible only if they are running in (1) an open seat race or (2) a competitive district. An "open seat" race is one in which the incumbent is not seeking reelection. A "competitive district" is one where for any two of the last three primaries or elections, whichever is applicable, the difference between the two top vote-getters is less than 10%.

Maximum Supplemental Amounts

The supplemental grant amount equals three times the amount of supplemental QCs that a candidate raises, up to a maximum specified amount. Major party candidates may raise supplemental QCs and receive supplemental grants for any primary and general election in which they run. Minor and petitioning party candidates may raise and receive them for the general election only. For statewide office

candidates, at least 75% must come from state residents. For legislative candidates, at least 75% must come from individuals residing in municipalities included, in whole or in part, in their district.

In the case of a special election, a legislative candidate may raise supplemental QCs up to 75% of the aggregate contributions required for that office during a regular election. They must similarly raise a number of in-district QCs equal to at least 75% of the applicable minimum number required during a regular election. The supplemental grant for legislative candidates in a special election is 75% of the regular general election grant.

If a candidate receives a supplemental grant for a primary but does not spend it all, any general election supplemental grant is reduced by the unspent amount.

Table 1 shows the maximum allowable supplemental QCs and supplemental grants for statewide office and legislative candidates, respectively.

Table 1: Maximum Supplemental QCs and Supplemental Grants*

Candidate for	Individual Supplemental	Primary Campaign (Major Party Candidates Only)		General Elect	ion Campaign
	QC Limit	Maximum Aggregate Supplemental QCs	Maximum Supplemental Grant*	Maximum Aggregate Supplemental QCs	Maximum Supplemental Grant*
Governor	\$500	\$312,500	\$937,500	750,000	2,250,000
Other statewide offices	250	60,000	180,000	125,000	375,000
State senator	100	4,167	12,500	10,167	30,500
State senator, party-dominant district**	100	9,000	27,000	N/A	N/A
State senator, special election (75% of primary or general election amounts)	100	3,125	9,375	7,625	22,875

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State representative	100	1,167	3,500	3,000	9,000
State representative, party-dominant district**	100	3,000	9,000	N/A	N/A
State representative, special election (75% of primary or general election amounts)	100	875	2,625	2,250	6,750

N/A means not applicable

Excess QCs and Supplemental QCs

Current law requires participating candidates to return excess QCs to the state treasurer for deposit in the CEF. The bill allows candidates to use excess QCs and supplemental QCs to qualify for grants. Specifically, candidates may use excess QCs toward a supplemental grant (i.e., as supplemental QCs). The maximum amount they may use for this purpose is 20% of the required QCs. Candidates may also use excess supplemental QCs collected to receive a supplemental primary grant toward a supplemental general election grant. The limit is 20% of the maximum allowable supplemental QCs for a primary grant.

Multiple Contributions

The bill authorizes individuals to give the same candidate separate QCs and supplemental QCs, up to the limit. For example, a contributor may give a gubernatorial candidate an initial \$500 QC, as well as a \$500 supplemental QC.

§§ 2 & 4—UNOPPOSED CANDIDATES

The bill defines "unopposed" for the purposes of the Citizens' Election Program and prohibits participating candidates who are unopposed in the general election from receiving an initial or a supplemental grant. Table 2 describes opposed and unopposed status.

^{*} To be adjusted by the SEEC for inflation beginning in 2012 and 2014 for legislative and all statewide office candidates, respectively.

^{**} Applies to a major party candidate whose party has at least 20% more enrolled voters in his or her district than another party, as determined by the latest enrollment and voter registration records in the Office of the Secretary of the State.

Table 2: Opposed and Unopposed Status

OPPOSED	UNOPPOSED
A participating candidate is opposed when he or she is nominated for election and:	A participating candidate is unopposed when:
	1. he or she has no opponent, or
another major party endorses a candidate to run against him or her;	the only opponent is exempt from forming a candidate committee because he or she
2. another major party candidate qualifies to run in the same race by receiving 15% of the vote on a roll call at a convention or submitting petitions with the required number of signatures; or	will not raise or spend more than \$1,000
a minor or petitioning party candidate qualifies to appear on the ballot in the same race	
and the opposing candidate is:	
required to form a candidate committee because he or	
one of a slate of candidates whose campaign is funded by a political committee formed for the election	
 appear on the ballot in the same race and the opposing candidate is: 1. required to form a candidate committee because he or she will raise or spend more than \$1,000; 2. self-funded, thus exempt from forming a candidate committee, but must file campaign finance statements because he or she spends over \$1,000; or 3. one of a slate of candidates whose campaign is 	

The bill instead allows unopposed candidates to raise contributions in addition to QCs, up to 30% of the applicable general election grant, which is the amount they receive as a grant under current law. It subjects these additional contributions to the same limitations and restrictions that exist for participating candidates running for the same office. Unopposed candidates must limit their expenditures to the sum of their (1) QCs; (2) allowable personal funds, if any; and (3) permissible additional contributions.

If an unopposed candidate is subsequently opposed, he or she is eligible for the applicable general election grant. But the grant is reduced by any additional contributions the candidate receives. At that point, the candidate must limit expenditures to the sum of his or her (1) QCs; (2) allowable personal funds, if any; (3) permissible additional contributions; and (4) applicable general election grant. Presumably, such a candidate, like others, may collect supplemental QCs once he or she becomes eligible for the initial general election grant from the CEF. However, the bill does not add supplemental QCs

or supplemental grants as sources to a candidates spending limits.

Under current law, a minor or petitioning party candidate who receives a partial CEF grant may continue to raise contributions in addition to the QCs to make up the difference between the full and partial grant. The bill eliminates this provision since it makes these candidates eligible for full grants.

§ 4—GRANTS FROM THE FUND

The bill reduces the initial primary and general election grant amounts for participating candidates for offices other than governor. It authorizes qualified minor and petitioning party candidates to receive a general election grant equal to those that qualified major party candidates receive ("full grant"). As under existing law, these candidates are not eligible for primary grants.

Under current law, minor and petitioning party candidates may receive a general election grant equal to the grant for a major party candidate only if the candidate for the same office representing the same minor party at the last regular election received at least 20% of the votes cast for that office. Similarly, an eligible petitioning party candidate may receive a full grant for the general election only if his or her petition is signed by a number of qualified electors equal to at least 20% of the number of votes cast for the same office at the last regular election. (Both receive a one-third grant by meeting a 10% threshold or a two-thirds grant by meeting a 15% threshold.)

Tables 3 through 6 show the grant amounts for each office under current law and the bill.

Table 3: Initial Primary and General Election Grants for Gubernatorial Candidates ^a

Grant	Current Law	The Bill
Primary Grant for Nomination	\$1,250,000	\$1,250,000
General Election Grant, Opposed Candidate	3,000,000 b	3,000,000 ¢

General Election Grant, Unopposed Candidate	900,000 d	() e
General Election Grant, Nominated Candidate Opposed by Minor or Petitioning Party Candidates	1,800,000 f	N/A

N/A means not applicable.

- a To be adjusted for inflation.
- Under current law, applies to a nominated major party candidate who is opposed by another major party candidate or by a minor or petitioning party candidate who has received the required QCs.
- c Under the bill, applies to a nominated major party candidate or eligible minor or petitioning party candidate.
- d Under current law, a candidate who faces no opposition receives a general election grant equal to 30% of the applicable grant.
- ^e The bill redefines unopposed (see above) and eliminates general election grants for these candidates.
- Under current law, a candidate many receive a grant equal to 60% of the applicable grant when he or she is opposed only by a minor or petitioning party candidate who has received contributions less than the qualifying amount.

Table 4: Initial Primary and General Election Grants for Other Statewide Office Candidates ^a

Grant	Current Law	The Bill
Primary Grant for Nomination	\$375,000	\$200,000
General Election Grant, Opposed Candidate	750,000 b	500,000 ¢
General Election Grant, Unopposed Candidate	225,000 d	() e
General Election Grant, Nominated Candidate Opposed by Minor or Petitioning Party Candidates	450,000 f	N/A

N/A means not applicable.

- a To be adjusted for inflation.
- Under current law, applies to a nominated major party candidate who is opposed by another major party candidate or by a minor or petitioning party candidate who has received the required QCs.
- ^c Under the bill, applies to a nominated major party candidate or eligible minor or petitioning party candidate.
- d Under current law, an unopposed candidate faces no opposition and receives a general election grant equal to 30% of the applicable grant.
- ^e The bill redefines unopposed (see above) and eliminates general election grants for these candidates.
- Under current law, a candidate many receive a grant equal to 60% of the applicable grant when he or she is opposed only by a minor or petitioning party candidate who has received contributions less than the qualifying amount.

Table 5: Initial Primary and General Election Grants for State Senate Candidates ^a

Grant	Current Law	The Bill
Primary Grant for Nomination	\$35,000	\$25,000
Primary Grant for Nomination in a Party-Dominant District ^b	75,000	54,000
General Election Grant, Opposed Candidate	85,000 ¢	61,000 ^d
General Election Grant, Unopposed Candidate	25,500 e	0 f
General Election Grant, Nominated Candidate Opposed by Minor or Petitioning Party Candidates	51,000 g	N/A

N/A means not applicable.

- ^a To be adjusted for inflation.
- Applies to a major party candidate whose party has at least 20% more enrolled voters in his district than another major party has, as determined by the latest enrollment and voter registration records in the Office of the Secretary of the State. Electors on the inactive registry list do not count toward the total.
- Under current law, applies to a nominated major party candidate who is opposed by another major party candidate or by a minor or petitioning party candidate who has received the required QCs.
- d Under the bill, applies to a nominated major party candidate or eligible minor or petitioning party candidate.
- Under current law, a candidate who faces no opposition receives a general election grant equal to 30% of the applicable grant.
- f The bill redefines unopposed (see above) and eliminates general election grants for these candidates.
- Under current law, a candidate many receive a grant equal to 60% of the applicable grant when he or she is opposed only by a minor or petitioning party candidate who has received contributions less than the qualifying amount.

Table 6: Initial Primary and General Election Grants for State Representative Candidates^a

Grant	Current Law	The Bill
Primary Grant for Nomination	\$10,000	\$7,000
Primary Grant for Nomination in a Party-Dominant District ^b	25,000	18,000
General Election Grant, Opposed Candidate	25,000 °	18,000 d
General Election Grant, Unopposed Candidate	7,500 e	0 f

General Election Grant,	15,000 g	N/A
Nominated Candidate Opposed by Minor or Petitioning Party Candidates	,	

N/A means not applicable.

- ^a To be adjusted for inflation.
- Applies to a major party candidate whose party has at least 20% more enrolled voters in his district than another major party has, as determined by the latest enrollment and voter registration records in the Office of the Secretary of the State. Electors on the inactive registry list do not count toward the total.
- ^c Under current law, applies to a nominated major party candidate who is opposed by another major party candidate or by a minor or petitioning party candidate who has received the required QCs.
- d Under the bill, applies to a nominated major party candidate or eligible minor or petitioning party candidate.
- Under current law, a candidate who faces no opposition receives a general election grant equal to 30% of the applicable grant.
- The bill redefines unopposed (see above) and eliminates general election grants for these candidates.
- Under current law, a candidate many receive a grant equal to 60% of the applicable grant when he or she is opposed only by a minor or petitioning party candidate who has received contributions less than the qualifying amount.

§ 2—SPENDING LIMITS

The bill changes spending limits for primary and general election campaigns by (1) adding the new supplemental QCs and supplemental grants and (2) eliminating independent and excess expenditure matching grants. Existing law, unchanged by the bill, requires candidates to limit spending before a primary and general election campaign to the sum of the allowable QCs and personal funds (sSB 389 includes supplemental QCs in the pre-primary spending limit, see BACKGROUND—Related Bill).

Under the bill, participating candidates must agree to limit spending:

- 1. for a primary campaign, to the sum of (a) the QCs and personal funds not spent before the primary campaign begins, (b) the initial primary campaign grant, and (c) supplemental QCs and supplemental grant, if applicable, up to the maximum authorized amount for the primary and
- 2. for a general election campaign, to the sum of (a) the QCs, supplemental QCs, and personal funds not spent before the

general election campaign begins, (b) unspent funds from the initial primary campaign grant or supplemental primary grant, (c) the initial general election campaign grant, (d) supplemental QCs and supplemental grant, if applicable, up to the maximum authorized amount for the general election.

§ 6—GRANT APPLICATIONS

The bill revises the schedule for submitting grant applications and incorporates into it applications for the new supplemental grants. It also requires candidate committees to certify in the application that they have transmitted all excess supplemental QCs to the CEF, just as existing law requires them to do with excess QCs.

Submissions

As under current law for primaries, the bill requires applicants for an initial or supplemental primary grant to apply by 5:00 pm:

- 1. on the third Thursday in the May preceding the primary or
- 2. on any subsequent Thursday, up through the fourth Friday preceding the primary.

However, on the seventh and ninth Thursdays preceding the primary, the SEEC may only act on (1) primary grant applications (initial or supplemental) for statewide office candidates and (2) supplemental submissions continued without prejudice to previously submitted applications for all covered-office candidates. On the third Thursday preceding the primary, the SEEC may act only on statewide office candidates' supplemental grant applications.

The bill requires applicants for an initial or supplemental general election grant to apply by 5:00 pm:

- 1. on the third Thursday in the May preceding the general election or
- 2. on any subsequent Thursday, up through the fifth Thursday preceding the election for an initial general election grant, or,

the third Thursday preceding the election for a supplemental general election grant.

However, on the ninth, fourth, and third Thursdays immediately prior to the election, the commission may act upon supplemental grant applications and supplemental submissions to previously submitted applications continued without prejudice.

Under current law, participating candidates generally submit grant applications by (1) 5:00 p.m. on the third Thursday in May of the year in which they are seeking nomination at a primary or election or (2) by 5:00 p.m. on a subsequent Thursday. The SEEC may not accept applications later than 5:00 p.m. on or after the fourth to last Friday before the primary or election.

Review by the SEEC

Under current law, the SEEC must review the applications it has received and determine whether to approve or reject each one within four business days following Thursday or Friday submissions (i.e., by the following Wednesday or Thursday). The bill retains this schedule for legislative candidate applications but extends the SEEC's review time for statewide office candidates to 10 business days following the Thursday or Friday submission (i.e., two weeks later).

Existing law, unchanged by the bill, requires the SEEC to meet twice during state election years, from the third week of June until the third week of July, to review any pending applications.

SEVERABILITY

The bill repeals the CEP's reverter clause, CGS § 9-717, which specifies that if the court "prohibits or limits, or continues to prohibit or limit, the expenditure of funds from the Citizens' Election Fund . . . for a period of one hundred sixty-eight hours or more" after April 15, 2010, or if there is a special election for a General Assembly vacancy, PA 05-5, October 25 Special Session, the public financing program, becomes inoperative and prior campaign contribution and spending provisions apply (see BACKGROUND).

In so doing, the statutes' controlling severability clause takes over. Under this, if one section of the program is found unconstitutional, the others remain operative.

BACKGROUND

Related Bill

sSB 389, favorably reported by the Government Administration and Elections Committee, makes the same changes affecting statewide office candidates, but retains most of existing law's provisions concerning legislative candidates.

Public Act 05-5, October 25 Special Session

This act established the CEP as a voluntary public campaign financing system, banned contributions from certain contractors and lobbyists, and changed campaign contribution limits for candidates who do not participate in the program.

Green Party of Connecticut, et al. v. Garfield, et al.

In August 2009, the federal district court for the District of Connecticut ruled in *Green Party of Connecticut, et al. v. Garfield, et al.*, 648 F. Supp. 2d 298 (D. Conn. 2009) that Connecticut's public financing program is unconstitutional. The court stated that the CEP (1) unconstitutionally burdens minor party candidates' rights to political opportunity and (2) its independent and excess expenditure provisions unconstitutionally burden their First Amendment speech rights. However, the court issued a stay, allowing the program to remain operative while the parties appealed the ruling.

In January 2010, oral argument was held at the Second Circuit Court of Appeals before a three-judge panel. As of March 30, 2010, the parties are awaiting this court's decision.

COMMITTEE ACTION

Government Administration and Elections Committee

Joint Favorable Substitute Yea 11 Nay 3 (03/18/2010)